

A comparative Assessment of Moment-Based Closures for Flows at Various Levels of Rarefaction

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Overview

- 1 Context and motivation
- 2 Kinetic equation and Method of Moments
- 3 Overview of closure strategies
- 4 Methodology
- 5 Results
- 6 Conclusions and perspectives

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Context and Motivation

Hybrid dense–rarefied flow fields

- ▶ Strong spatial variations of *Knudsen* number
- ▶ Coexistence of multiple regimes
- ▶ Portions of domain under transition regime conditions

Examples of applications

- ▶ **Rocket propulsion plumes**
(dense core → rarefied far field)
- ▶ **Atmospheric re-entry / planetary descent**
(dense shock → rarefied wake)
- ▶ **High-altitude hypersonic flight**
(boundary layer → rarefied exterior)



Saturn V exhaust plume during the launch of Apollo 11

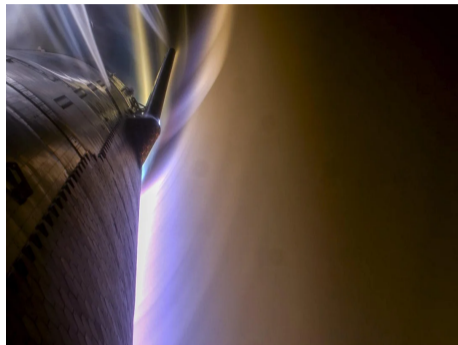
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SpaceX Starship hypersonic flight

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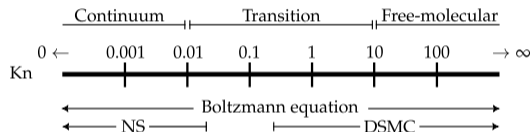
Mockup of ESA shuttle Hermes

Rarefaction and Knudsen number

Knudsen number

$$\text{Kn} = \frac{\lambda}{\ell}$$

ratio between mean free path λ and a characteristic length ℓ [Cercignani, \(1990\)](#)



Numerical methods ([Torrilhon, \(2016\)](#))

- $\text{Kn} \ll 1$: **continuum regime** \rightarrow well described by Euler/NS (CFD)
- $\text{Kn} \gg 1$: **free molecular regime** \rightarrow requires kinetic description (Boltzmann, DSMC)
- $10^{-2} < \text{Kn} < 10^1$: **transition regime** \rightarrow no universally accepted best practice

State-of-the-art of modelling frameworks for rarefied flows

Classical approaches

Kinetic solvers (Boltzmann, DSMC)

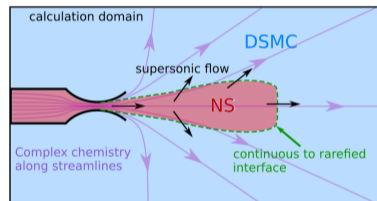
- Accurate for rarefied regimes
- *Prohibitively expensive* as $\text{Kn} \rightarrow 0$ ⁽¹⁾

Continuum solvers (CFD / NS)

- Efficient in dense regions
- *Break down* in non-equilibrium regimes⁽²⁾

Hybrid NS/DSMC methods⁽³⁾

- Combine efficiency and accuracy across regimes
- *Interface definition is non-trivial*⁽⁴⁾, domain decomposition challenges
- Coupling strategy not straightforward



Domain partition in hybrid NS–DSMC (Virgile et al., (2022))

⇒ **Reliable prediction of transition-regime flows remains challenging**

⁽¹⁾ Yang et al., (2019) ⁽²⁾ Ferziger et al., (1973) ⁽³⁾ Schwartzenhuber et al., (2006) ⁽⁴⁾ Xu et al., (2010)

Method of Moments: a reduced kinetic approach

Underlying principles

- ▶ Macroscopic quantities are *moments* of the distribution function
- ▶ Many applications require only a *limited subset* of these moments
- ▶ Evolve moment equations instead of full VDF

Key advantages

- ▶ Provides a *reduced kinetic description*⁽¹⁾
- ▶ More accurate than NS: captures *non-equilibrium effects*
- ▶ Much *cheaper* than full kinetic solver

Current challenges

- Mathematical structure, boundary conditions, etc.
- Presence of *sub-shocks* in some formulations
- *Multiple closure models* available
- **Uncertainty: which closure is the *most effective*?**

Contribution of this work: Systematic benchmark and evaluation of existing closure methodologies for the method of moments in resolving transitional rarefied flow fields

⁽¹⁾ Torrilhon, (2010)

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Kinetic description of a gas

Core idea

- Instead of tracking $\sim 10^{23}$ particles, describe the gas via a *distribution function* $f(t, x, v)$
- $f dx dv =$ number of particles near position x with velocity v at time t
- **1D1V setting:** $x \in \mathbb{R}$, $v \in \mathbb{R}$

Governing equation

The evolution of f is governed by the **Boltzmann equation** (Cercignani et al., (1994); Villani, (2002))

$$\boxed{\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x}} = \boxed{Q(f, f)}$$

free streaming collisions

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Collision operator $Q(f, f)$

Models the effect of binary collisions:

- BGK (relaxation model)
- Hard spheres
- ES–BGK, Fokker–Planck, ...

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BGK modelling: Relaxation toward equilibrium

- Collisions drive f toward a **local Maxwellian** \mathcal{M} (Bhatnagar et al., (1954))
- $\tau \propto \text{Kn}$: relaxation time

$$Q_{\text{BGK}}(f, f) = \frac{1}{\tau}(\mathcal{M} - f)$$

$$\mathcal{M}(v) = \frac{\rho}{\sqrt{2\pi\theta}} \exp\left[-\frac{(v-u)^2}{2\theta}\right]$$

From kinetic to macroscopic: velocity moments

Key observation: all macroscopic quantities are *velocity moments* of f (Groth, (2020))

Convective moments

$$M_k = m \int_{\mathbb{R}} v^k f \, dv$$

$$M_0 = \rho \quad (\text{density})$$

$$M_1 = \rho u \quad (\text{momentum})$$

$$M_2 = \rho u^2 + 2\rho e \quad (\text{energy})$$

...

Central moments

$$C_k = m \int_{\mathbb{R}} (v - u)^k f \, dv$$

$$C_0 = 1, \quad C_1 = 0$$

$$C_2 = p \quad (\text{pressure})$$

$$C_3 = 2q \quad (\text{heat flux})$$

...

Method of moments: derive conservation equations for a finite set of moments \rightarrow kinetic equation simplified into fluid model

Moment equations: from kinetic to fluid-like

Start from the Boltzmann equation and apply the *moment operator*:

$$\langle \cdot \rangle_k := m \int_{\mathbb{R}} v^k (\cdot) dv$$

$$\frac{\partial}{\partial t} \underbrace{\left(m \int_{\mathbb{R}} v^k f dv \right)}_{M_k} + \frac{\partial}{\partial x} \underbrace{\left(m \int_{\mathbb{R}} v^{k+1} f dv \right)}_{M_{k+1}} = \langle m v^k Q \rangle$$

Repeated for $k = 0, \dots, n - 1$ yields the **moment system**:

$$\frac{\partial M_k}{\partial t} + \frac{\partial M_{k+1}}{\partial x} = \langle m v^k Q(f, f) \rangle$$

Key features

- ▶ Finite set of macroscopic conservation laws
- ▶ Retains non-equilibrium physics beyond standard NS
- ▶ Higher-order moments (stress, heat flux) become *independent state variables*

Recovered models by truncation order

- $n = 3$ Euler equations
(density, momentum, energy)
- $n = 5$ Extended NS equations
(+ stress tensor, heat flux
as independent variables)
- $n \rightarrow \infty$ Full kinetic description

The closure problem

Where does the problem arise?

Retaining (M_0, \dots, M_{n-1}) and writing the equation for the last moment M_{n-1} :

$$\frac{\partial M_{n-1}}{\partial t} + \frac{\partial M_n}{\partial x} = \langle m v^{n-1} Q \rangle$$

The flux M_n is **not** in our set of unknowns: the system is *underdetermined*.

Closure problem

To close the system, one must express M_n in terms of the retained moments (M_0, \dots, M_{n-1})

Closure strategy

Introduce an *approximate* distribution \hat{f} , reconstructed from the retained moments:

$$f \approx \hat{f} = \mathcal{F}(M_0, \dots, M_{n-1})$$
$$M_n \approx \hat{M}_n = m \int_{\mathbb{R}} v^n \hat{f} dv$$

What defines a good closure?

- ▶ Consistent with known equilibrium limits
- ▶ Hyperbolic system (finite wave speeds)
- ▶ Entropy inequality satisfied

The choice of \mathcal{F} is the central question of the moment method → many strategies exist

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Truncating the moment hierarchy requires a *closure* for the highest-order moment

A well-posed closure should satisfy (Laurent et al., (2024); Pichard, (2023)):

- a. **Realizability**: moments correspond to a non-negative distribution
 - b. **Existence**: closure defined for all realizable states
 - c. **Hyperbolicity**: well-posed PDE system
 - d. **Thermodynamic consistency**: correct equilibria and entropy dissipation
- ★ **Efficiency**: low computational cost

Numerous closures have been proposed in the literature, with a strong impact on both *accuracy* and *mathematical structure* of the resulting system

Closure strategies: the three families

Grad-type

Expansion around a Maxwellian via Hermite polynomials

Classical Grad Grad, (1949)

Accurate near equilibrium, not globally hyperbolic

Linearized Grad Hu et al., (2020)

Spectral formulation, globally hyperbolic

Quadrature-based

VDF approximated as weighted sum of kernels

Bi-Gaussian Chalons et al., (2017)

Gaussian kernels, smooth reconstruction

HyQMOM Fox et al., (2018)

Dirac kernels, Chebyshev-based inversion

Entropy-based

Ansatz from entropy optimisation problem

MaxEnt Levermore, (1996)

Exponential distribution ansatz

Phi-divergence Abdelmalik et al., (2016)

Deformed exponential, truncated power approximation

Closure strategies: trade-offs

Closure	Hyperbolicity	Realizability	Cost	Multi-D
Classical Grad	weak	✓	medium	easy
Linearized Grad	✓	✓	medium	easy
Bi-Gaussian	✓	partial	large	difficult
HyQMOM	✓	✓	low	difficult
MaxEnt	✓	partial	large	limited
Phi-divergence	✓	✓	large	limited

No universally optimal closure: trade-offs between accuracy, robustness, and computational cost motivate a systematic benchmark

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Methodology: assessment strategy

Gap in literature: Limited systematic comparison of closure methods in transitional regime

Goal: Quantitative comparison of closure performance across representative test cases

Benchmark setup

- 1D stationary shock structures
- Riemann problem with Rankine–Hugoniot initial states
- Monatomic argon gas

Test matrix

- Mach numbers: $Ma = 2, 4$
- Knudsen numbers: $Kn = 0.1, 1.0$

Reference solution

- Discrete Velocity Method (DVM)
- Phase space discretization

$$[x_L, x_R] \times [v_L, v_R] \quad N_x = 10^4, N_v = 400$$

with velocity range $v \in [-10, 10]$

Methodology: moment-model solver

5-Moment system

$$\frac{\partial \mathbf{M}(\mathbf{Q})}{\partial t} + \frac{\partial \mathbf{F}(\mathbf{Q})}{\partial x} = \mathbf{S}(\mathbf{Q}), \quad \mathbf{M} = (M_0, \dots, M_4)^T$$

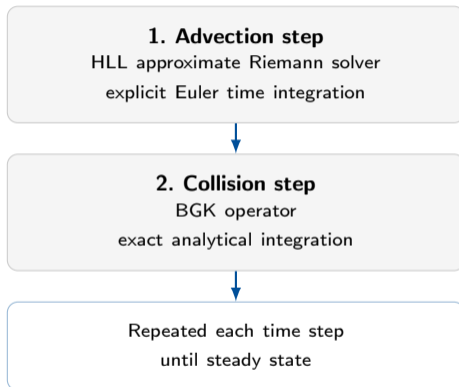
$\mathbf{Q} = (\rho, u, p, q, r)^T$ primitive variables

$\mathbf{F}(\mathbf{Q})$ depends on the chosen closure

Implementation

- SAMURAI⁽¹⁾ framework (meshing, ghost cells, parallelization)
- Uniform 1D grid: 16384 cells
- First-order scheme to isolate intrinsic closure capabilities

Numerical strategy: operator splitting



⁽¹⁾ github.com/hpc-maths/samurai

Test case: 1D shock structure

Initial condition

Discontinuity at $x = 0$ between two Maxwellian states:

$$f(0, x, v) = \begin{cases} \mathcal{M}_L(v), & x < 0 \\ \mathcal{M}_R(v), & x > 0 \end{cases}$$

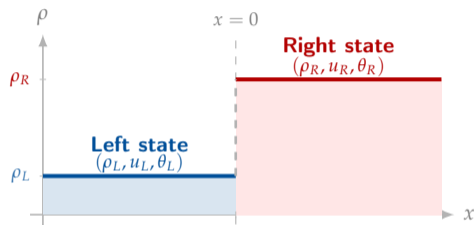
Macroscopic states from Rankine–Hugoniot:

$$(\rho_L, u_L, \theta_L) = (1, \sqrt{3} \text{Ma}, 1)$$

$$(\rho_R, u_R, \theta_R) = \left(\frac{2\text{Ma}^2}{\text{Ma}^2+1}, \frac{\sqrt{3}}{2} \frac{\text{Ma}^2+1}{\text{Ma}}, \frac{(3\text{Ma}^2-1)(\text{Ma}^2+1)}{4\text{Ma}^2} \right)$$

Free parameters: Ma and Kn

Density profile $\rho(x)$ at $t = 0$



The system evolves toward a *steady shock structure* \Rightarrow comparison against the DVM reference

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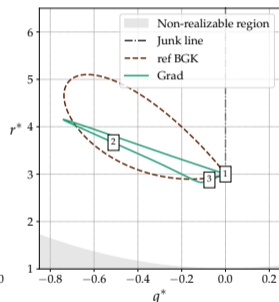
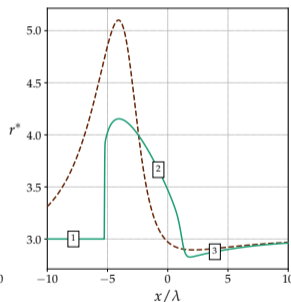
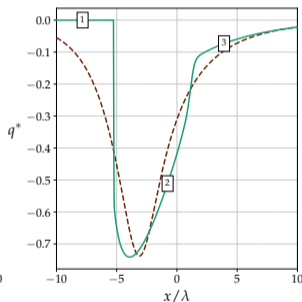
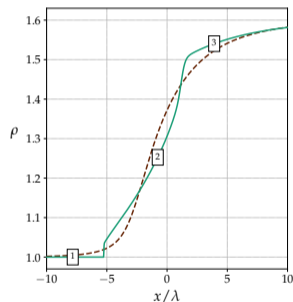
Solution in non-dimensional moment space

Normalised moments

$$q^* = \frac{q}{p^{3/2}}, \quad r^* = \frac{r}{p^2}$$

Equilibrium fixed point

$$(q^*, r^*) = (0, 3)$$



Trajectory in (q^*, r^*) space through the shock structure — Grad closure vs. BGK reference, $\text{Kn} = 0.1$, $\text{Ma} = 2$

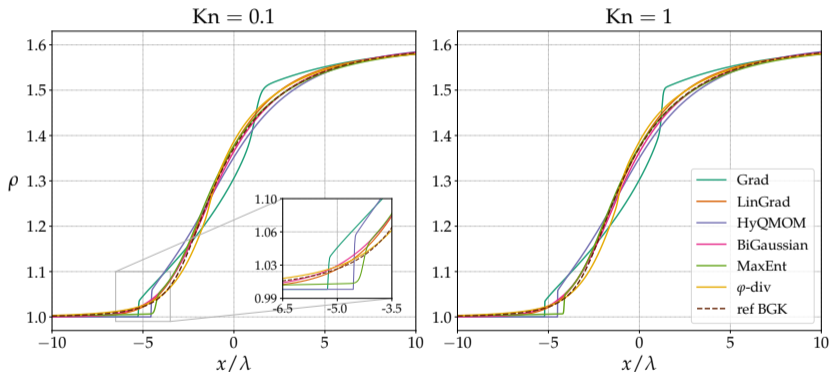
Comparison at $Ma = 2$ – density

Sub-shocks

- Grad, HyQMOM: intrinsic property of ansatz
- MaxEnt: finite integration domain

Smooth profiles

- Lin. Grad, Bi-Gaussian, φ -div: evolution closer to reference



Non dimensional density profiles for two test cases. Spatial coordinate scaled with respect to mean free path

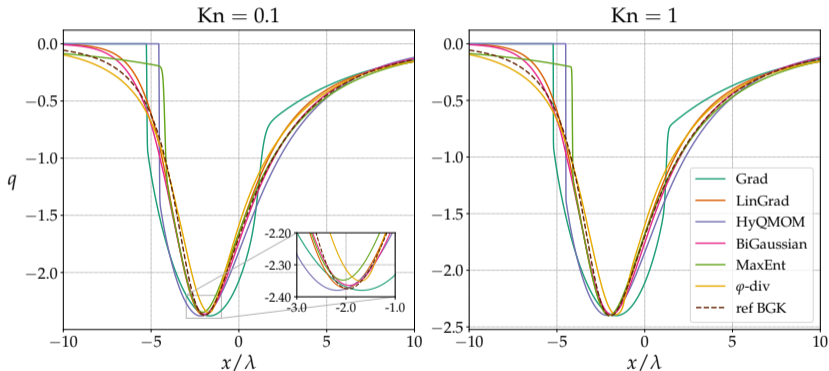
Comparison at $Ma = 2$ – heat flux

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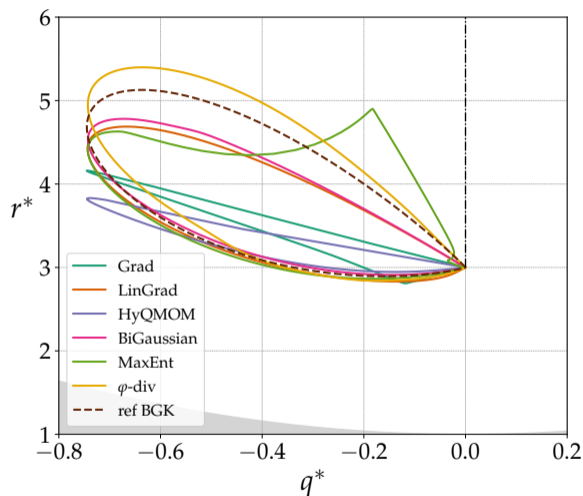
Smooth profiles

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Non dimensional heat flux profiles for two test cases. Spatial coordinate scaled with respect to mean free path

Comparison at $Ma = 2$ – non-dimensional moment space



Comparison of moment solutions in normalized moment space, $Kn = 1, Ma = 2$

Comparison at $Ma = 4$ – density

Failures

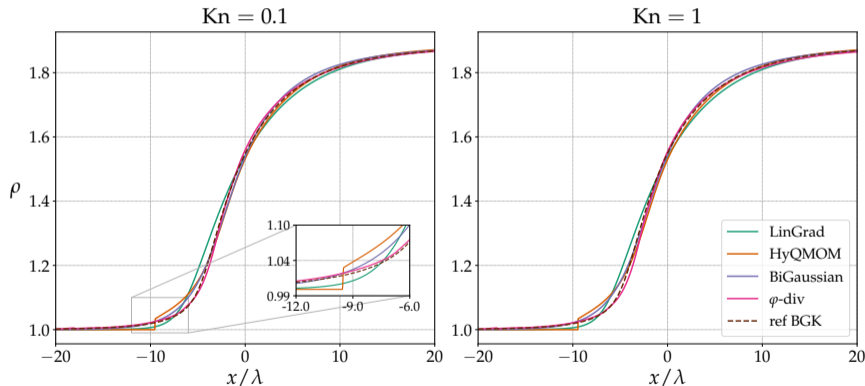
- **Grad**: loss of hyperbolicity
- **MaxEnt**: optimisation diverges

Larger deviations

- **HyQMOM**: strong sub-shock
- **LinGrad**, φ -**div**: deviation at shock front, due to sensitivity to modelling parameters

Most accurate

- **Bi-Gaussian**: closer to reference



Non dimensional density profiles for two test cases. Spatial coordinate scaled with respect to mean free path

Comparison at $Ma = 4$ – heat flux

Failures

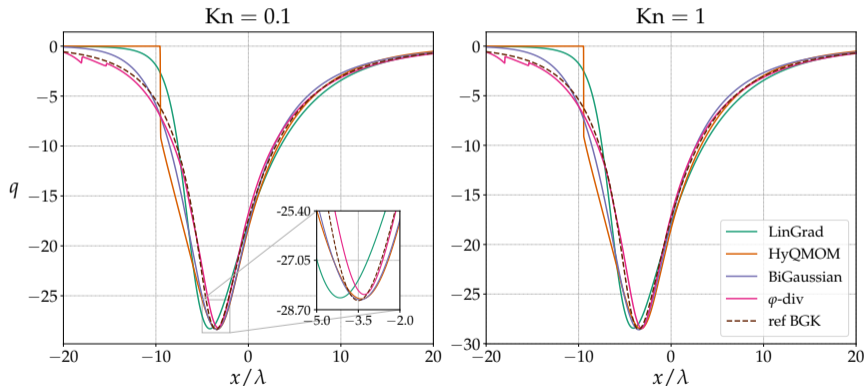
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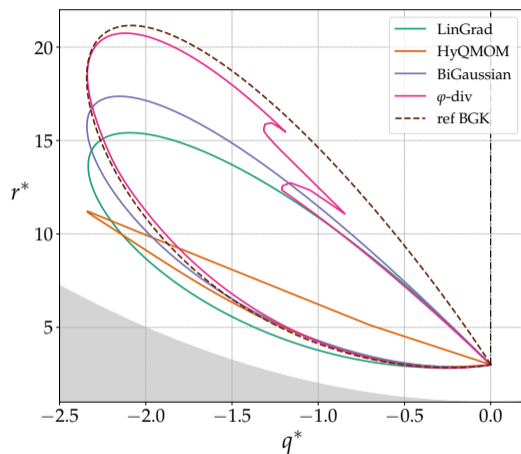
Most accurate

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Non dimensional heat flux profiles for two test cases. Spatial coordinate scaled with respect to mean free path

Comparison at $Ma = 4$ – non-dimensional moment space



Comparison of moment solutions in normalized moment space, $Kn = 1, Ma = 4$

Computational efficiency

Cost of evaluating the closing moment

Method	Time [$\mu\text{s}/\text{cell}$]	vs. Grad	Inversion
HyQMOM	0.039	$\times 0.05$	direct
Grad	0.80	$\times 1.0$	direct
Linearized Grad	0.80	$\times 1.0$	direct
Bi-Gaussian	10.7	$\times 13$	iterative
Phi-divergence	16.5	$\times 21$	iterative
MaxEnt	24.0	$\times 30$	iterative

Times measured per cell evaluation, sorted by cost

Key takeaways

Fastest: HyQMOM

20 \times cheaper than Grad thanks to direct polynomial inversion, no iterative solve required

Grad / Lin. Grad

Essentially identical cost, polynomial evaluation only

Slowest: MaxEnt

> 30 \times Grad due to iterative optimisation at every cell and time step

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Conclusions and perspectives

What this work established

Benchmark of six closure strategies across Mach and Knudsen numbers, with DVM reference

Key findings

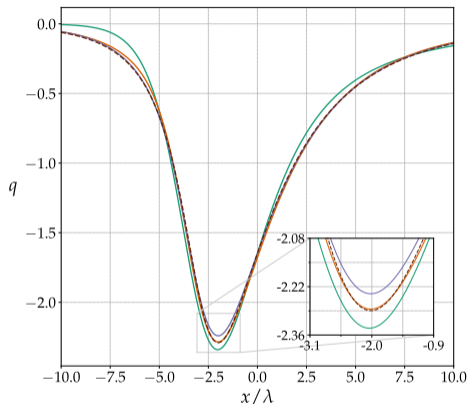
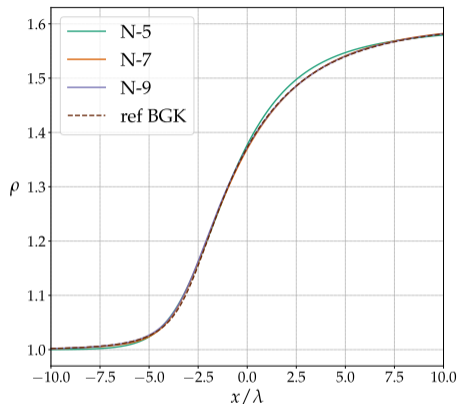
- ▶ **Linearized Grad**: best overall compromise: accurate, robust, low cost, no realizability issues
- ▶ **HyQMOM**: fastest closure by far; sub-shocks remain without kinetic enrichment
- ▶ **Bi-Gaussian**: highest accuracy when applicable, limited by realizability domain
- ▶ **Entropy-based** closures: thermodynamically consistent but prohibitively costly

Ongoing and future work

- Testing of additional test cases to further assess the capabilities of the closures
- Analysis at larger number of moments
- Extension to $2D$ problems ⁽¹⁾
- Participation to the 34th International Symposium on Rarefied Gas Dynamics (RGD34)

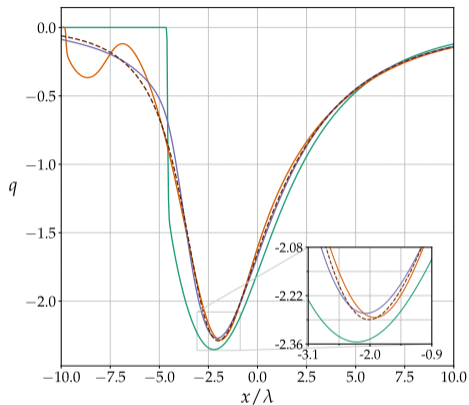
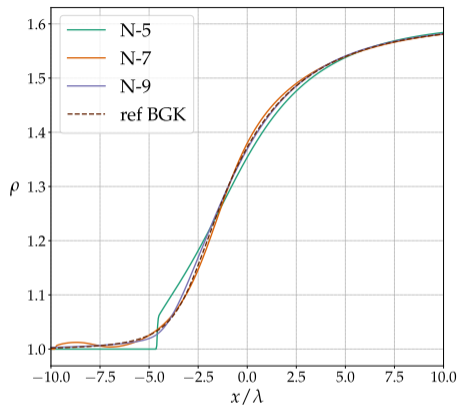
⁽¹⁾ Bernigaud et al., (2026)

Moment convergence



Moment solutions at increasing number of retained moments for Linearized Grad closure. Test case at $Ma = 2$, $Kn = 0.1$

Moment convergence (cont.)



Moment solutions at increasing number of retained moments for HyQMOM closure. Test case at $Ma = 2$, $Kn = 0.1$

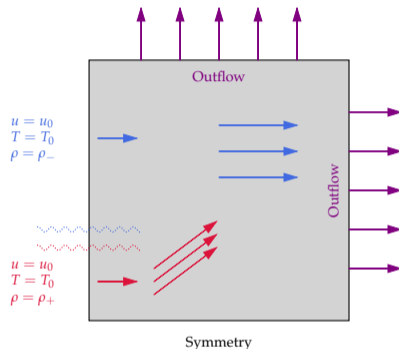
2D test case: expansion of rocket plume

Test case description

- ▶ Interaction of two co-flowing streams with different densities
- ▶ Idealized configuration representative of a rocket plume expansion at high altitude
- Inflow velocity: $u_0 = 300$ m/s
- Inflow temperature: $T_0 = 45$ K
- High-density stream: $\rho_+ = 3.5 \times 10^{-3}$ kg/m³
- Low-density stream: $\rho_- = \rho_+ / 10$

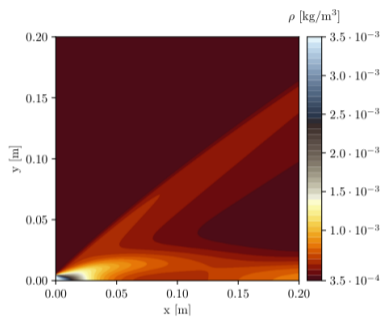
Numerical setup and reference

- Moment closures: Grad and Bi-Gaussian
- Numerical flux: Rusanov scheme
- Computational grid: medium resolution ($\approx 65,000$ cells)
- Comparison against DSMC reference solutions

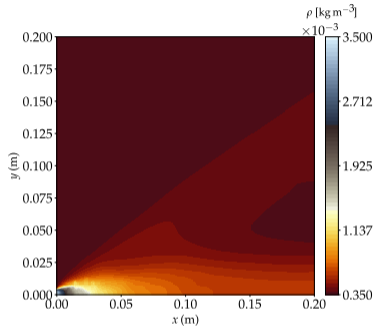


Schematic of the 2D computational configuration

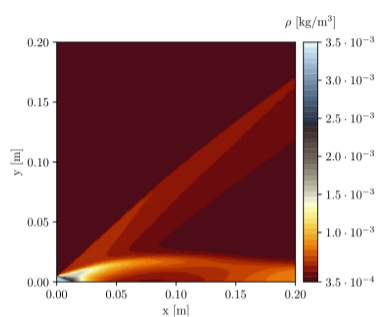
Comparison of density fields



Grad method

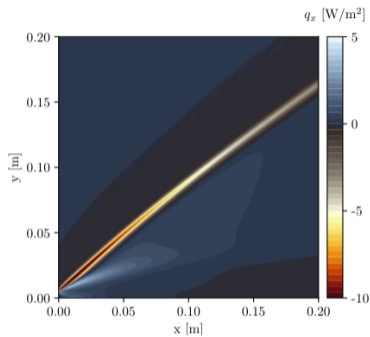


Bi-Gaussian method

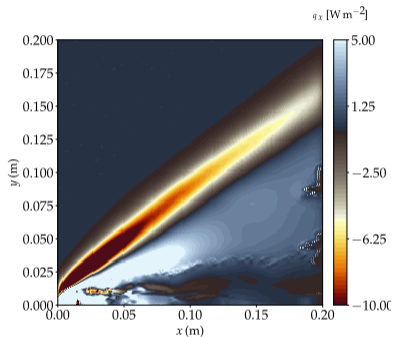


Reference DSMC

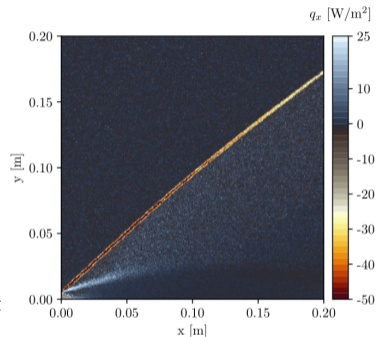
Comparison of heat flux fields



Grad method



Bi-Gaussian method



Reference DSMC

Thank you for listening! Any questions?

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Appendix – Moments and Macroscopic variables

1D1V five-moment model:

$$M_k = m \int_{\mathbb{R}} \zeta^k f \, d\zeta, \quad C_k = m \int_{\mathbb{R}} (\zeta - u)^k f \, d\zeta.$$

Macroscopic quantities

$$\rho = M_0 \quad (\text{density})$$

$$u = \frac{M_1}{M_0} \quad (\text{bulk velocity})$$

$$p = C_2 \quad (\text{pressure})$$

$$q = C_3 \quad (\text{heat flux})$$

$$r = C_4 \quad (\text{4th-order moment})$$

$$s = C_5 \quad (\text{5th-order moment})$$

State vector:

$$\mathbf{Q} = (\rho, u, p, q, r)^T$$

Appendix – Non dimensionalization

Starting point: BGK-based moment equations for $\langle \bar{\zeta}^k f \rangle$, $k = 0, \dots, 4$

Non-dimensional variables:

$$\bar{t} = \frac{t}{t_0}, \quad \bar{x} = \frac{x}{\sqrt{\theta_0} t_0}, \quad \bar{\zeta} = \frac{\zeta}{\sqrt{\theta_0}}, \quad \bar{f} = \frac{\sqrt{\theta_0}}{\rho_0/m} f$$

Dimensionless moment equations:

$$\frac{\partial}{\partial \bar{t}} \langle \bar{\zeta}^k \bar{f} \rangle + \frac{\partial}{\partial \bar{x}} \langle \bar{\zeta}^{k+1} \bar{f} \rangle = -\frac{1}{\text{Kn}} \langle \bar{\zeta}^k (\bar{f} - \bar{\mathcal{M}}) \rangle, \quad k = 0, \dots, 4$$

Note. For convenience, dimensionless variables will be used, and bars are omitted

Appendix – Five-Moment system

Governing equations (BGK relaxation model)

$$\frac{\partial}{\partial t} \rho + \frac{\partial}{\partial x} (\rho u) = 0,$$

$$\frac{\partial}{\partial t} (\rho u) + \frac{\partial}{\partial x} (\rho u^2 + p) = 0,$$

$$\frac{\partial}{\partial t} (\rho u^2 + p) + \frac{\partial}{\partial x} (\rho u^3 + 3pu + q) = 0,$$

$$\frac{\partial}{\partial t} (\rho u^3 + 3pu + q) + \frac{\partial}{\partial x} (\rho u^4 + 6pu^2 + 4qu + r) = -\frac{q}{\tau},$$

$$\frac{\partial}{\partial t} (\rho u^4 + 6pu^2 + 4qu + r) + \frac{\partial}{\partial x} (\rho u^5 + 10pu^3 + 10qu^2 + 5ru + s) = -\frac{1}{\rho} \left(4uq + r - \frac{3p^2}{\rho} \right)$$

The last two equations include collision terms, relaxing q and r toward equilibrium

Appendix – Five-Moment system (cont.)

Compact vector form

$$\frac{\partial \mathbf{M}}{\partial t} + \frac{\partial \mathbf{F}}{\partial x} = \mathbf{S},$$

with

$$\mathbf{M}(\mathbf{Q}) = \begin{pmatrix} \rho \\ \rho u \\ \rho u^2 + p \\ \rho u^3 + 3pu + q \\ \rho u^4 + 6pu^2 + 4qu + r \end{pmatrix}, \quad \mathbf{F}(\mathbf{Q}) = \begin{pmatrix} \rho u \\ \rho u^2 + p \\ \rho u^3 + 3pu + q \\ \rho u^4 + 6pu^2 + 4qu + r \\ \rho u^5 + 10pu^3 + 10qu^2 + 5ru + s \end{pmatrix},$$

$$\mathbf{S}(\mathbf{Q}) = \begin{pmatrix} 0 \\ 0 \\ 0 \\ -q/\tau \\ -(4uq + r - 3p^2/\rho)/\rho \end{pmatrix}$$

Appendix – Algebraic structure

The flux Jacobian is

$$\mathbf{J} = \frac{\partial \mathbf{F}}{\partial \mathbf{U}} = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ a_0 & a_1 & a_2 & \cdots & a_{N-1} \end{pmatrix},$$

with $a_k = \frac{\partial \hat{M}_N}{\partial M_k}$

Its characteristic polynomial is

$$\chi_{\mathbf{J}}(\lambda) = \lambda^N - \sum_{k=0}^{N-1} a_k \lambda^k$$

Closure requirement: The system is *strongly hyperbolic* if eigenvalues of \mathbf{J} are real and distinct

Appendix – Sub-shock formation

Shock-structure solutions

- A shock structure is a smooth travelling-wave profile connecting two equilibrium states
- Its existence relies on dissipative mechanisms to regularize the hyperbolic dynamics

Boillat–Ruggeri theorem (Boillat et al., (1998); Ruggeri, (1993))

Let u^- be an upstream equilibrium state and s the shock velocity. If

$$s > \lambda_{\max}(u^-),$$

no C^1 shock structure can exist between equilibrium states

Any admissible shock profile must therefore contain at least one **sub-shock**

Mechanism of sub-shock formation

- The shock-structure ODE becomes singular when

$$s = \lambda_i(U)$$

along the profile

- Physically: the shock propagates faster than all information carriers upstream
- Dissipation is insufficient to enforce a smooth transition \Rightarrow internal discontinuity

Sub-shocks in monatomic gases (Rational Extended Thermodynamics)

- For the Grad 13-moment system, the condition

$$s > \lambda_{\max}(u^-)$$

corresponds to a critical Mach number

$$\text{Ma} \gtrsim 1.65,$$

above which sub-shocks are unavoidable

Appendix – Time integration via operator splitting

Separate transport and collisions in the BGK-based moment system

$$\frac{\partial \mathbf{M}}{\partial t} + \nabla_x \cdot \mathbf{F}(\mathbf{M}) = \mathbf{S}(\mathbf{M}), \quad \mathbf{S} = \frac{1}{\tau} (\mathbf{M}^{\text{eq}} - \mathbf{M})$$

Splitting strategy (over Δt):

i. Transport step: solve the homogeneous system

$$\frac{\partial \mathbf{M}}{\partial t} + \nabla_x \cdot \mathbf{F}(\mathbf{M}) = 0$$

to obtain an intermediate state \mathbf{M}^* (using a finite-volume scheme)

ii. Collision step: solve the local ODE

$$\frac{\partial \mathbf{M}}{\partial t} = \frac{1}{\tau} (\mathbf{M}^{\text{eq}} - \mathbf{M})$$

at each grid point

First-order splitting:

$$\mathbf{M}_i^* = \mathbf{M}_i^n - \frac{\Delta t}{\Delta x} (\mathbf{F}_{i+1/2}^n - \mathbf{F}_{i-1/2}^n), \quad \mathbf{M}_i^{n+1} = \mathbf{M}_i^* + \Delta t \mathbf{S}(\mathbf{M}_i^*)$$

Appendix – Exact integration of BGK collision

- During the collision substep, \mathbf{M}^{eq} is constant
- The ODE admits the exact solution

$$\mathbf{M}(t + \Delta t) = \mathbf{M}^{\text{eq}} + (\mathbf{M}(t) - \mathbf{M}^{\text{eq}})e^{-\Delta t/\tau}$$

- Collision update in splitting:

$$\mathbf{M}_i^{n+1} = \mathbf{M}_i^{\text{eq}} + (\mathbf{M}_i^* - \mathbf{M}_i^{\text{eq}})e^{-\Delta t/\tau}$$

Advantages: unconditionally stable, no stiffness constraints when $\tau \ll \Delta t$

Appendix – Grad's classical closure

Idea: approximate the distribution function using a truncated polynomial expansion around a Maxwellian [Grad, \(1949\)](#)

$$\hat{f}(v) = \frac{\mathcal{M}(\rho, u, \theta)}{\rho} \sum_{\alpha=0}^N f_{\alpha} \theta^{-\alpha/2} \text{He}_{\alpha} \left(\frac{v-u}{\sqrt{\theta}} \right)$$

Main characteristics

- ▶ Exact near Maxwellian equilibrium
- ▶ Coefficients computed explicitly via orthogonality
- ▶ Reduced computational cost and straightforward extension to multi-D

Drawbacks

- Not positivity-preserving
- Not globally hyperbolic

} Accurate only for weakly non-equilibrium flows
(numerical instabilities far from equilibrium, [Levermore, \(1996\)](#))

Appendix – Linearized Grad closure

Idea: linearize the Hermite expansion around fixed parameters $(\bar{u}, \bar{\theta})$ Hu et al., (2020)

$$\hat{f}(v) = \frac{1}{\sqrt{2\pi\bar{\theta}}} \sum_{\alpha=0}^{N-1} f_{\alpha} \hat{\theta}^{-\alpha/2} \text{He}_{\alpha} \left(\frac{v - \bar{u}}{\sqrt{\bar{\theta}}} \right) e^{-\frac{(v-\bar{u})^2}{2\bar{\theta}}}$$

Main characteristics

- ▶ Equivalent to a Hermite spectral method
- ▶ Globally hyperbolic (constant characteristic speeds)

Drawbacks

- Accuracy highly sensitive to parameter selection (Cai, (2021))
- Parameters must be selected manually

Appendix – Quadrature-based closures

Idea: reconstruct the distribution function as a weighted sum of kernels κ

$$\hat{f}(v) = \sum_{\alpha=1}^N \varrho_{\alpha} \kappa_{\sigma}(v - \zeta_{\alpha})$$

Main characteristics

- ▶ Realizability guaranteed by construction
- ▶ Quadrature parameters $(\varrho_{\alpha}, \zeta_{\alpha})$ obtained via moment inversion

Drawbacks

- Nonlinear and potentially ill-conditioned inversion procedure
- Extension to higher-dimensional velocity spaces is non-trivial
- Lack of global hyperbolicity and associated entropy inequality
- No general guarantee of uniqueness for weak solutions (Chalons et al., (2010); Kah, (2010))

Appendix – Hyperbolic QMOM (HyQMOM) closure

Idea: construct the closure using central moments and orthogonal polynomials, with *Dirac delta kernels* representing the quadrature nodes [Fox et al., \(2018\)](#)

- ▶ Quadrature nodes chosen via Chebyshev algorithm
- ▶ Closure derived directly from moment relations (no explicit VDF reconstruction)

Main characteristics

- ▶ Realizability guaranteed by construction
- ▶ Global hyperbolicity

Drawbacks

- Difficult extension to multidimensional spaces
- Discrete representation of the distribution function

Appendix – Multi-Gaussian closure

Idea: replace Dirac deltas with *Gaussian* kernels Chalons et al., (2017); Pigou et al., (2018)

$$\hat{f}(v) = \sum_{\alpha=1}^N \frac{\rho_{\alpha}}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(v - \zeta_{\alpha})^2}{2\sigma^2}\right)$$

Bi-Gaussian closure ($N = 2$)

- Five-moment system
- Smooth, continuous distribution

Main characteristics

- ▶ Global hyperbolicity

Drawback

- Defined only on a subset of the realizability domain (mapping moment vector \leftrightarrow kernel parameters not globally invertible, Berger et al., (2025))

Appendix – Entropy-based closures

Idea: reconstruct \hat{f} by minimising an entropy functional under moment constraints

$$\hat{f} = \arg \min_{f \geq 0} \left\{ \int_{\mathbb{R}} \eta(f) \, dv \right\}, \quad \int_{\mathbb{R}} v^k f \, dv = M_k$$

Maximum Entropy Levermore, (1996)

Generator: $\eta = f \log f - f$

$$\hat{f}(v) = \exp \left(\sum_{k=0}^{n-1} \lambda_k v^k \right)$$

φ -Divergence Abdelmalik et al., (2016)

Deformed exponential, order q :

$$\hat{f}(v) = \mathcal{M}(\bar{u}, \bar{\theta}) \left(1 + \frac{\sum_{k=0}^{n-1} \lambda_k v^k}{q} \right)_+^q$$

Main characteristics

- ▶ Global hyperbolicity and thermodynamic consistency
- ▶ φ -div \rightarrow No Junk-line: well-posed for all realizable moments

Drawback

- **High cost:** nonlinear optimisation per cell
- **MaxEnt \rightarrow Junk-line pathology** Junk, (1998): undefined on subset of realizability domain
- **φ -div \rightarrow Background \mathcal{M} must be chosen carefully**

Appendix – Iterative inversion strategies

Bi-Gaussian, MaxEnt, and φ -divergence require solving a *nonlinear system* at every cell and time step to recover the closure parameters from the retained moments

Bi-Gaussian

Ansatz: sum of two Gaussians

$$\hat{f} = \sum_{i=1}^2 \frac{w_i}{\sqrt{2\pi\theta_i}} e^{-\frac{(v-u_i)^2}{2\theta_i}}$$

Parameters (w_i, u_i, θ_i) found by matching moments (M_0, \dots, M_4)

Strategy: iterations on the nonlinear moment-matching system

Maximum Entropy

Ansatz: exponential family

$$\hat{f} = \exp\left(\sum_{k=0}^{n-1} \lambda_k v^k\right)$$

Multipliers (λ_k) found by solving the dual optimisation problem

$$\min_{\lambda} \int_{\mathbb{R}} \eta^*(\lambda^\top v) dv - \lambda^\top \mathbf{M}$$

Strategy: Newton–Raphson on the gradient of the dual functional; sensitive to initialisation

φ -divergence

Ansatz: deformed exponential

$$\hat{f} = \mathcal{M}(\bar{u}, \bar{\theta}) \left(1 + \frac{\sum_{k=0}^{n-1} \lambda_k v^k}{q}\right)_+^q$$

Truncated power approximation of the exponential — regularises the tail behaviour

Strategy: Newton iterations on the same dual structure as MaxEnt; Maxwellian shape parameter $\bar{u}, \bar{\theta}$ must be chosen carefully