



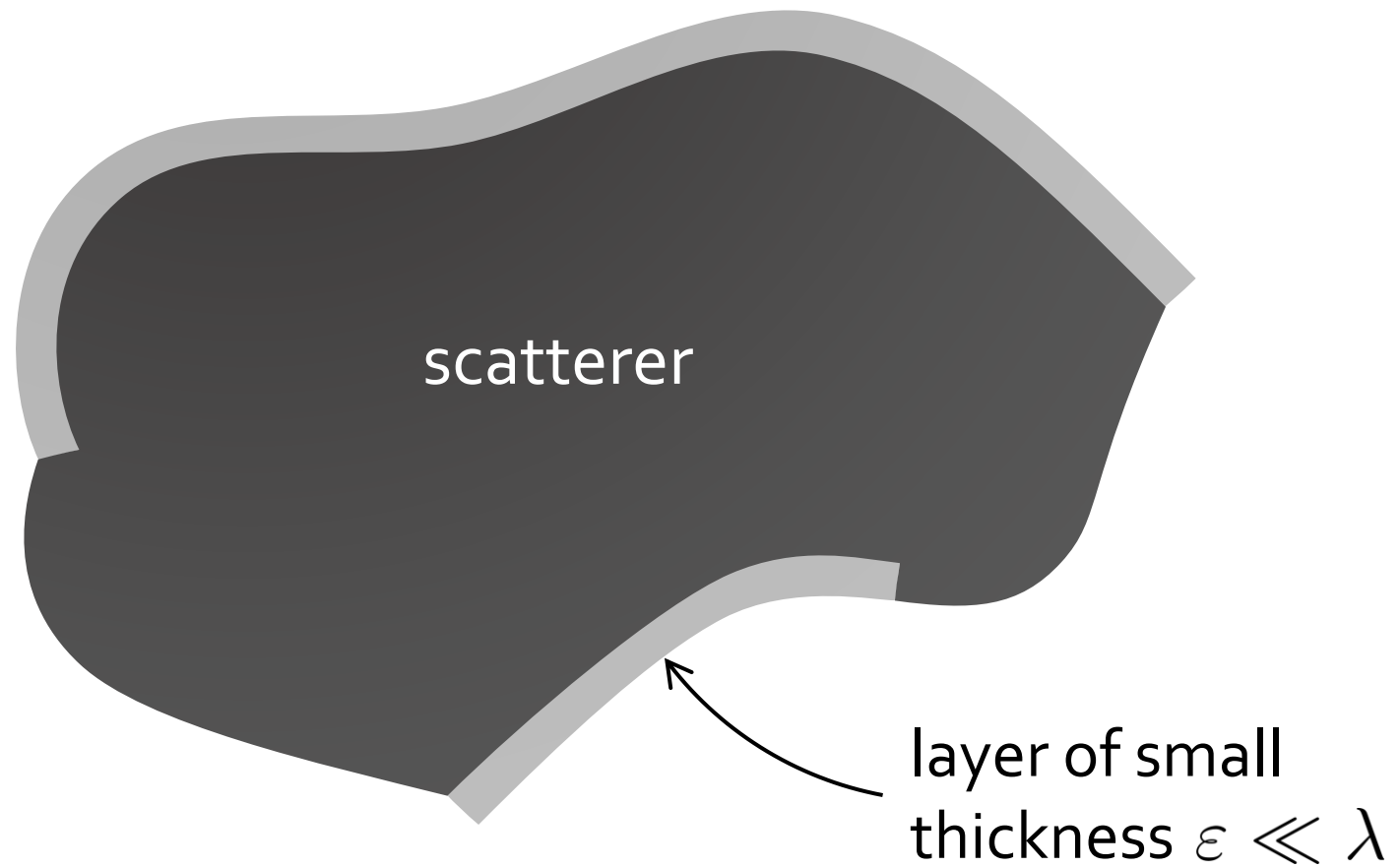
# Modèles effectifs pour l'équation de Helmholtz dans un coin avec couche mince

Cédric Baudet, Sonia Fliss et Patrick Joly

CANUM 2026

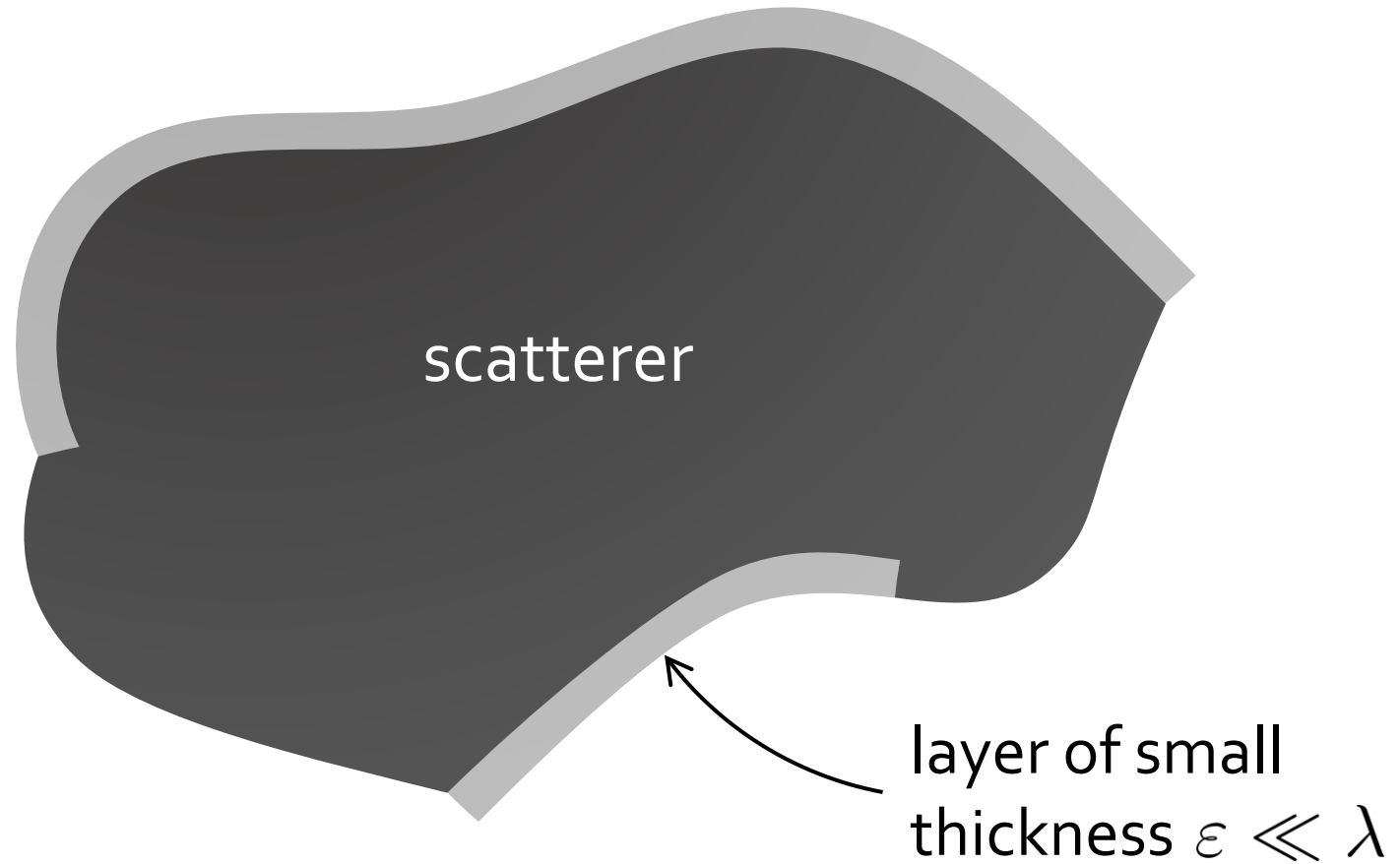
acoustics:  
honeycomb  
structures for noise  
reduction...

electromagnetism:  
thin dielectric layers,  
ferromagnetic films...



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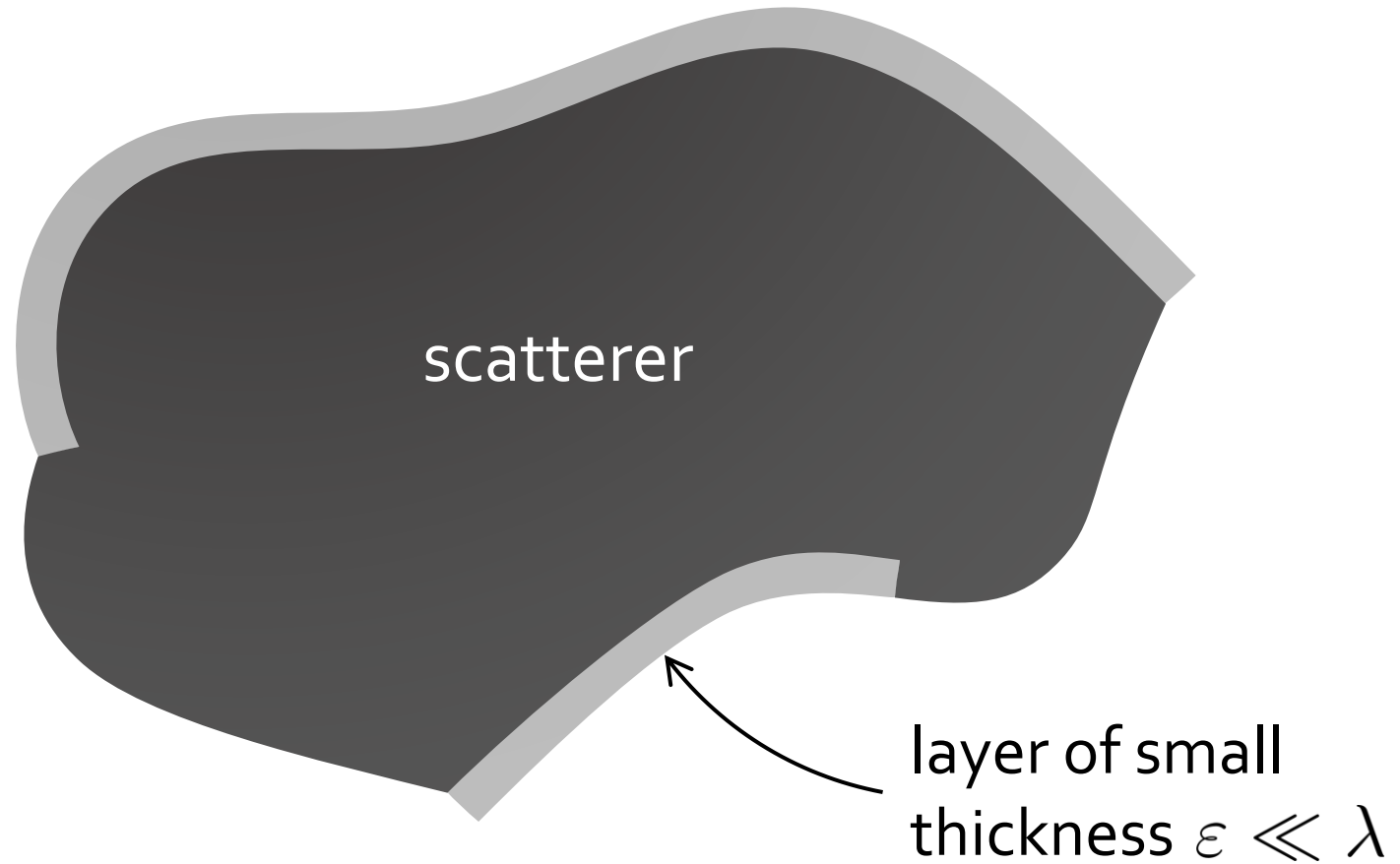
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**excessive computational cost**

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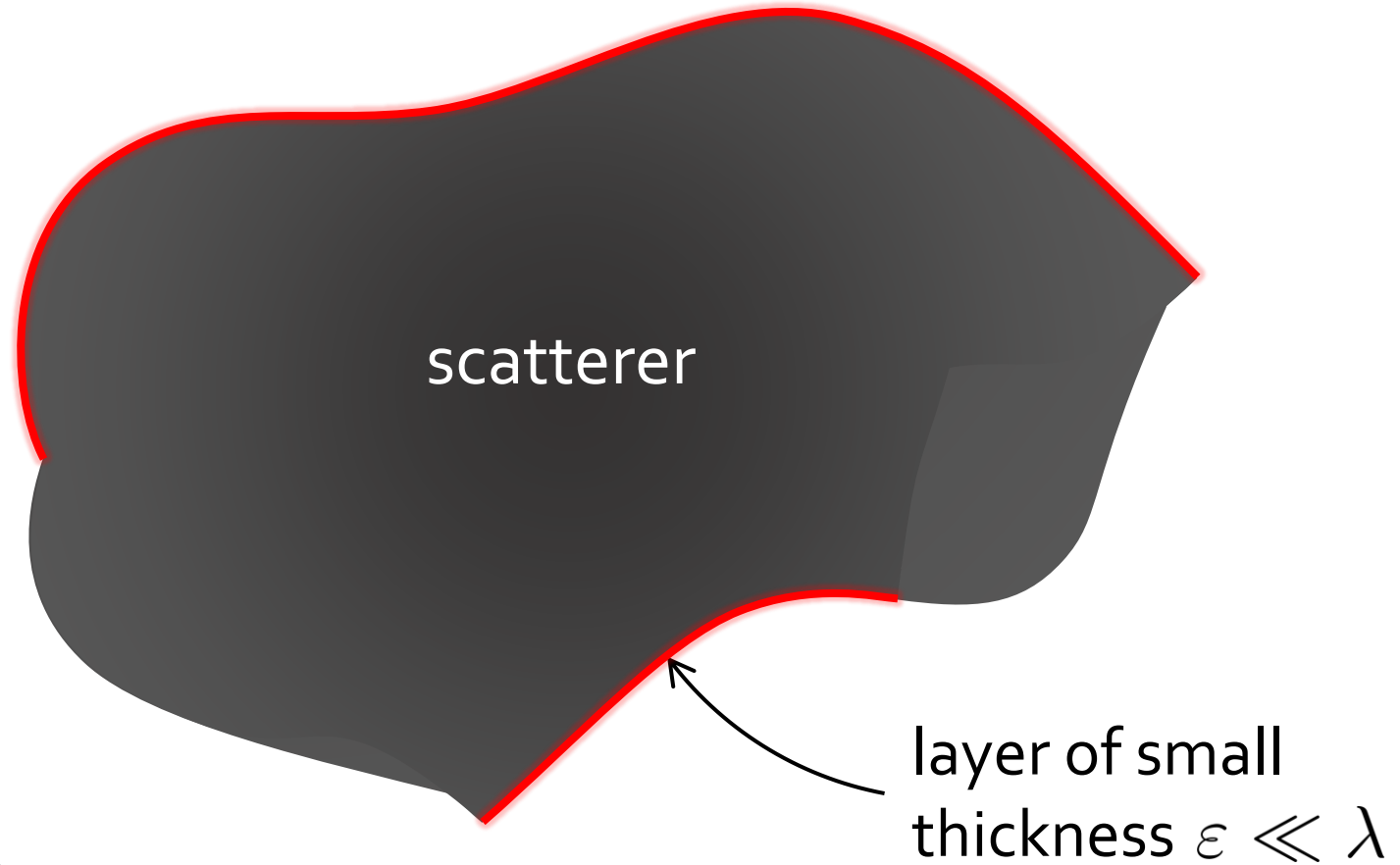


**excessive computational cost**

→ asymptotic expansions & effective models

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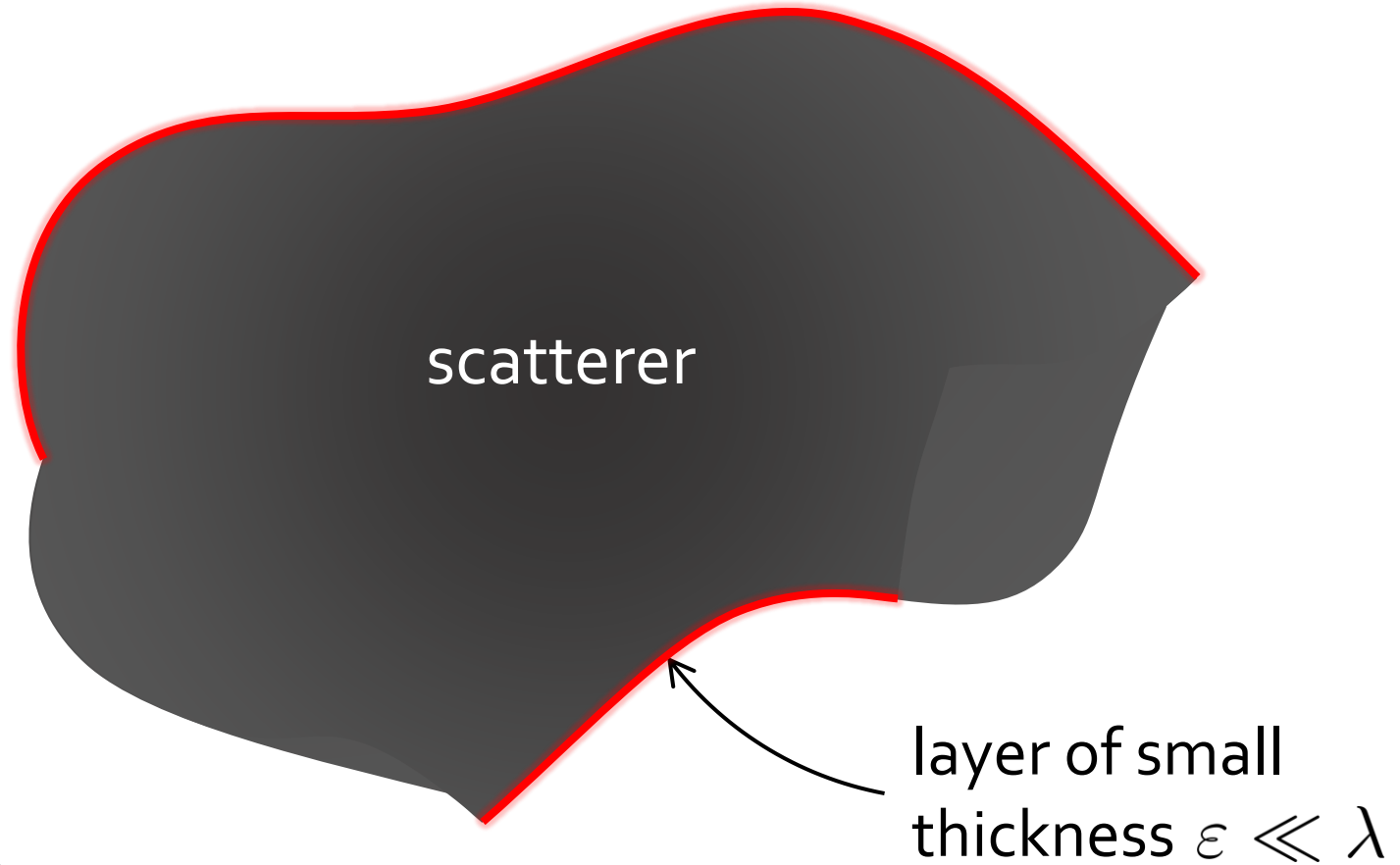


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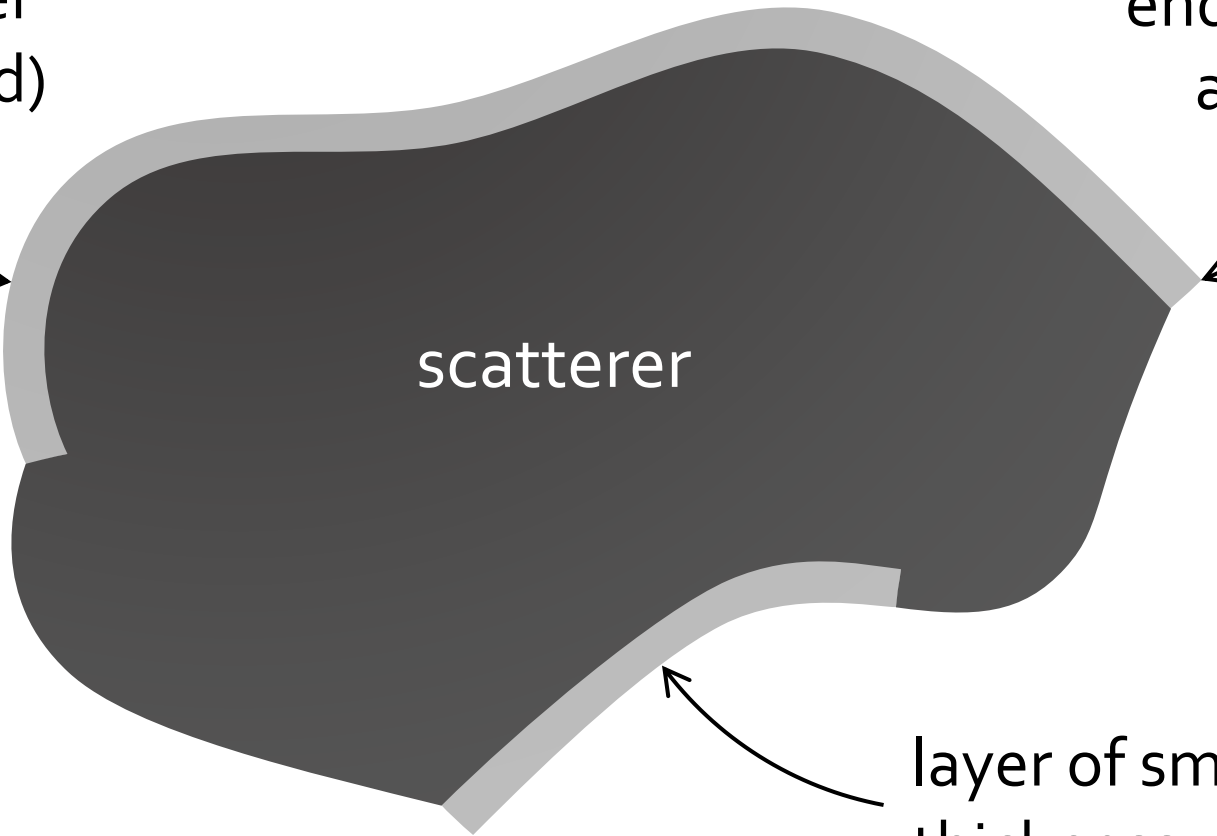
→ asymptotic expansions & effective models

Part 1

Part 2

middle of the layer  
(already addressed)

end of the layer  
and corner



scatterer

layer of small  
thickness  $\varepsilon \ll \lambda$

acoustics:  
honeycomb  
structures for noise  
reduction...

electromagnetism:  
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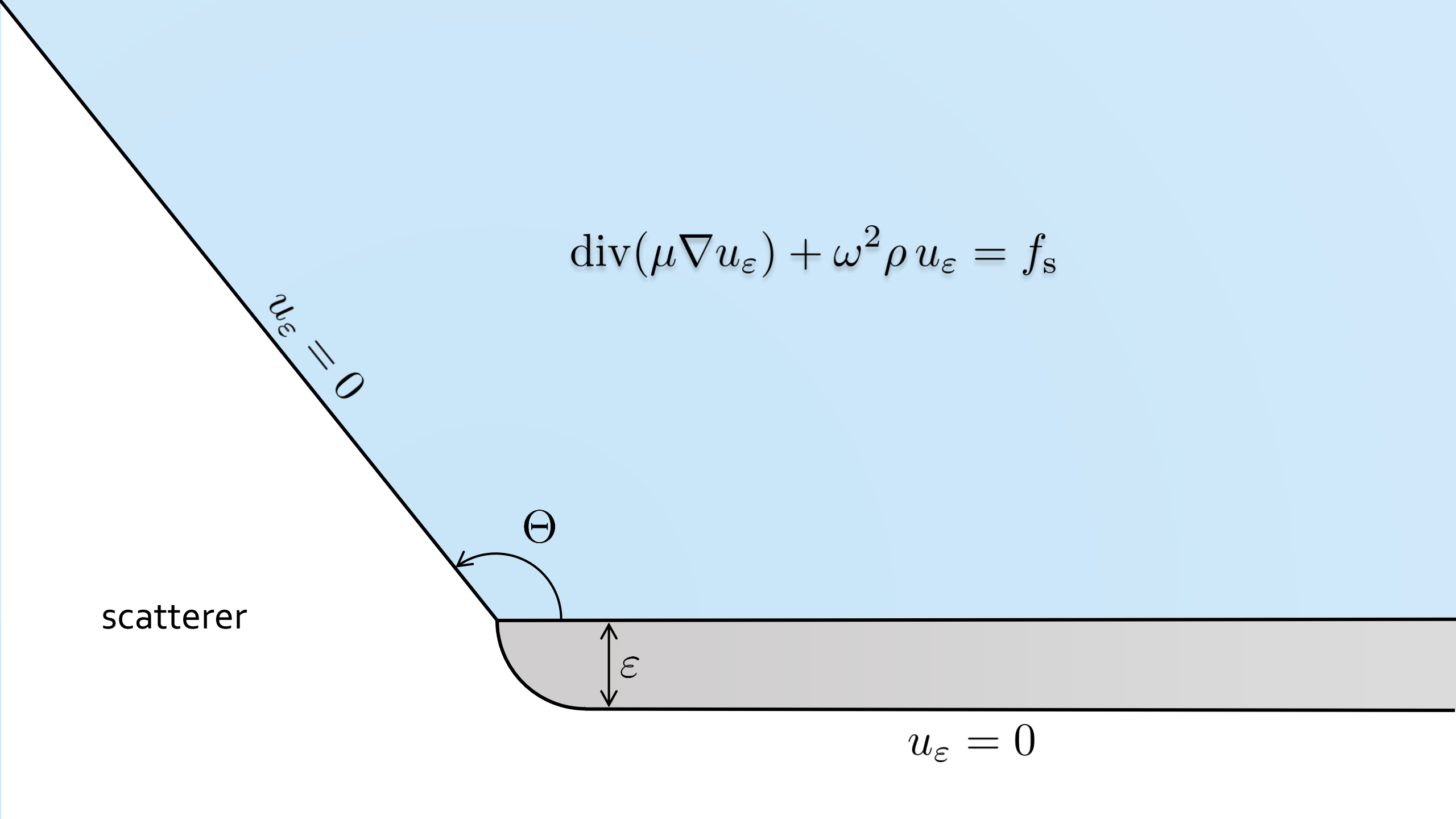


**excessive computational cost**

→ asymptotic expansions & effective models

Part 1

Part 2



$$\operatorname{div}(\mu \nabla u_\varepsilon) + \omega^2 \rho u_\varepsilon = f_s$$

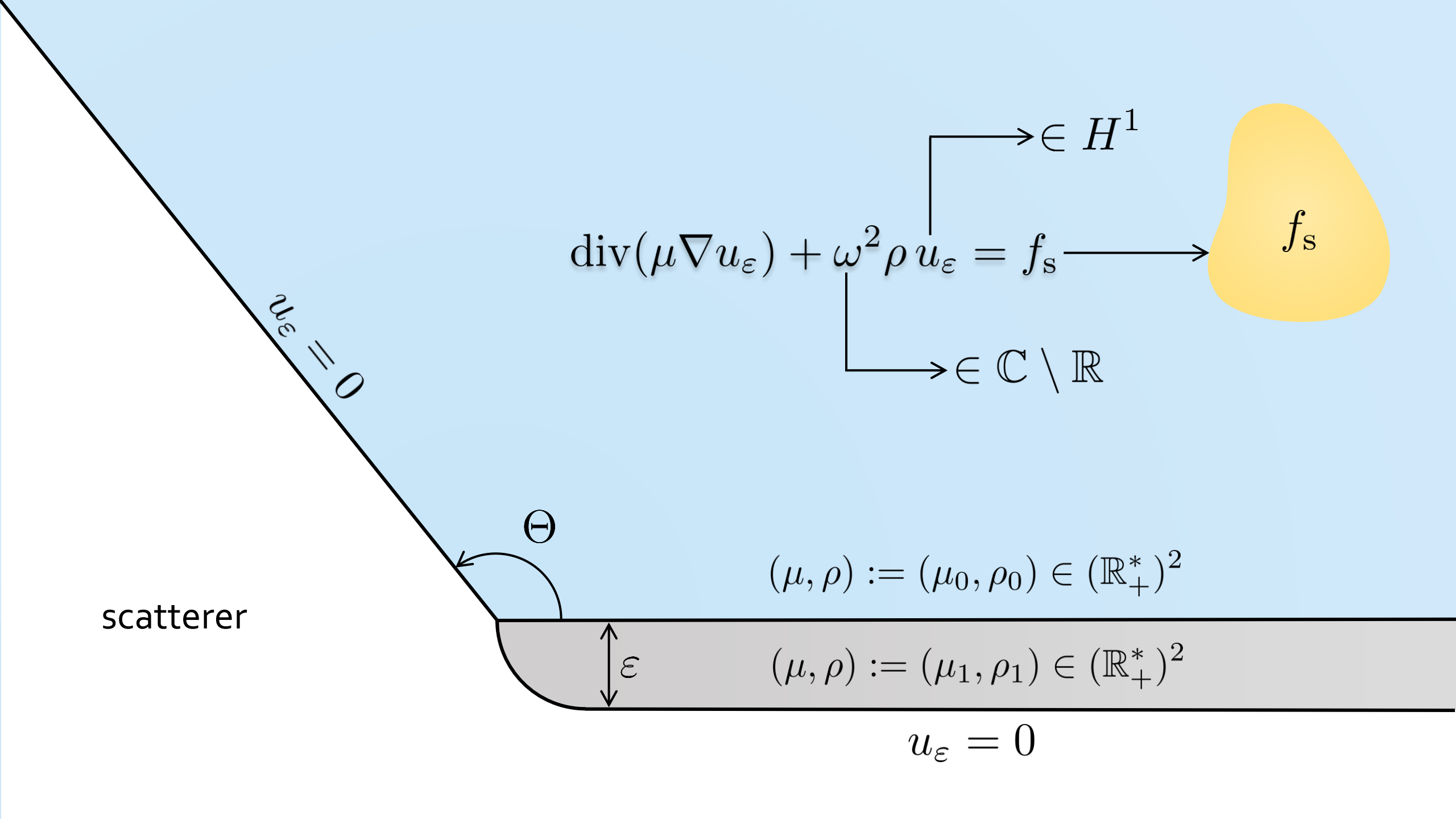
$$u_\varepsilon = 0$$

$\Theta$

scatterer

$\varepsilon$

$$u_\varepsilon = 0$$



**State of the art**

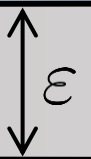
Infinite homogeneous layers:  
 [Bendali, Lemrabet 96],  
 [Engquist, Nedelec 93]

Infinite periodic layers:  
 [Ammari, He 97-98],  
 [Ammari, Latiri-Grouz 98]

Finite layers, Poisson (asymptotic at any order):  
 [Caloz, Costabel, Dauge, Vial 06],  
 [Delourme, Schmidt, Semin 16] (periodic)

Finite layers, Helmholtz (at order 2):  
 [Bendali, Makhlof, Tordeux 11],  
 [Delourme, Schmidt, Semin 18] (periodic).

$$u_\varepsilon = 0$$



$$(\mu, \rho) := (\mu_0, \rho_0) \in (\mathbb{R}_+^*)^2$$

$$(\mu, \rho) := (\mu_1, \rho_1) \in (\mathbb{R}_+^*)^2$$

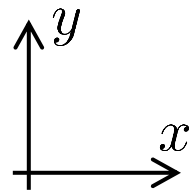
$$u_\varepsilon = 0$$

$$\text{div}(\mu \nabla u_\varepsilon) + \omega^2 \rho u_\varepsilon = f_s$$

$\xrightarrow{\in H^1}$   
 $\xrightarrow{\in \mathbb{C} \setminus \mathbb{R}}$

# Matched asymptotic expansion

[Baudet 26]  
(arXiv:2405.12883)



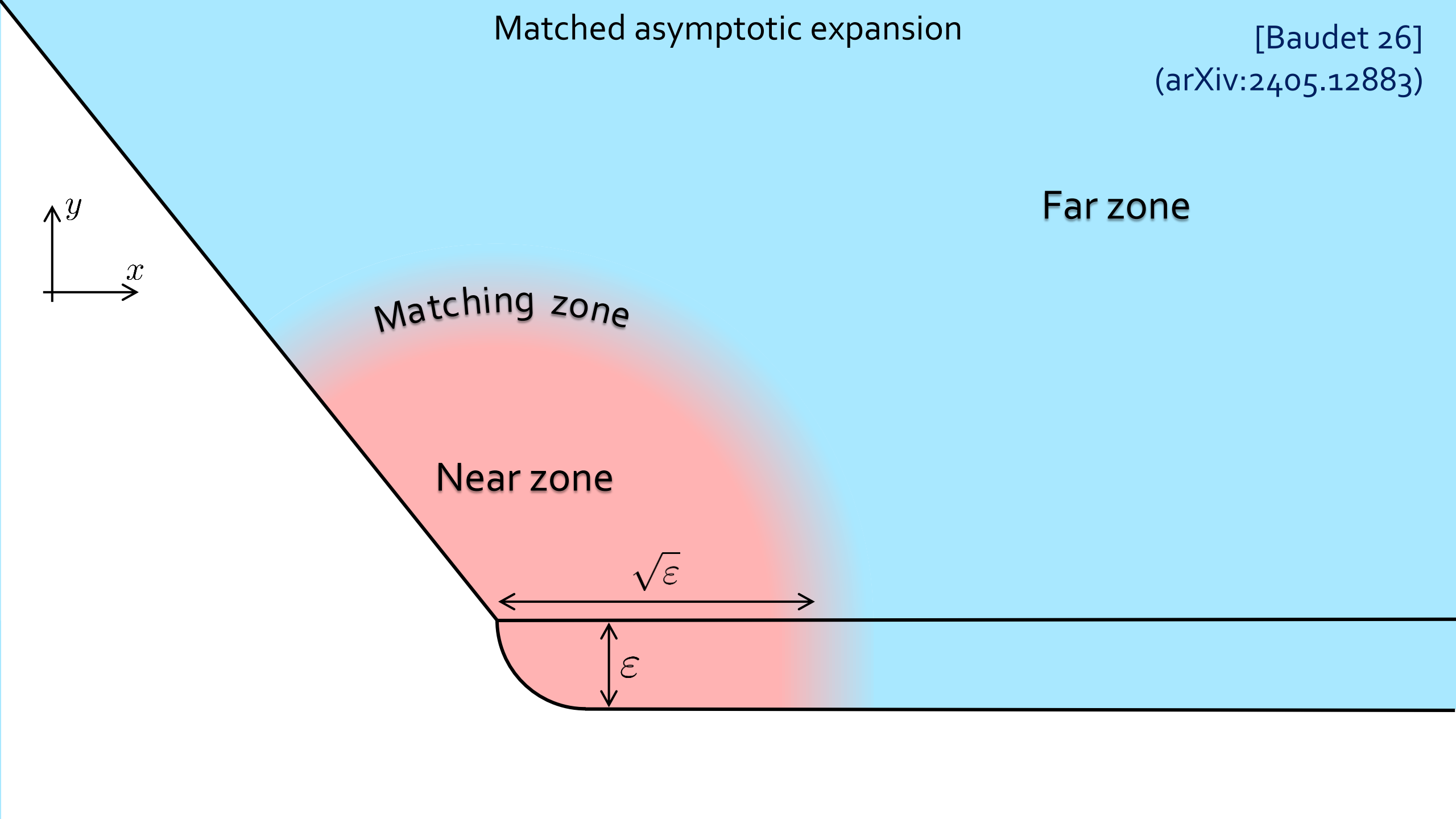
Far zone

Matching zone

Near zone

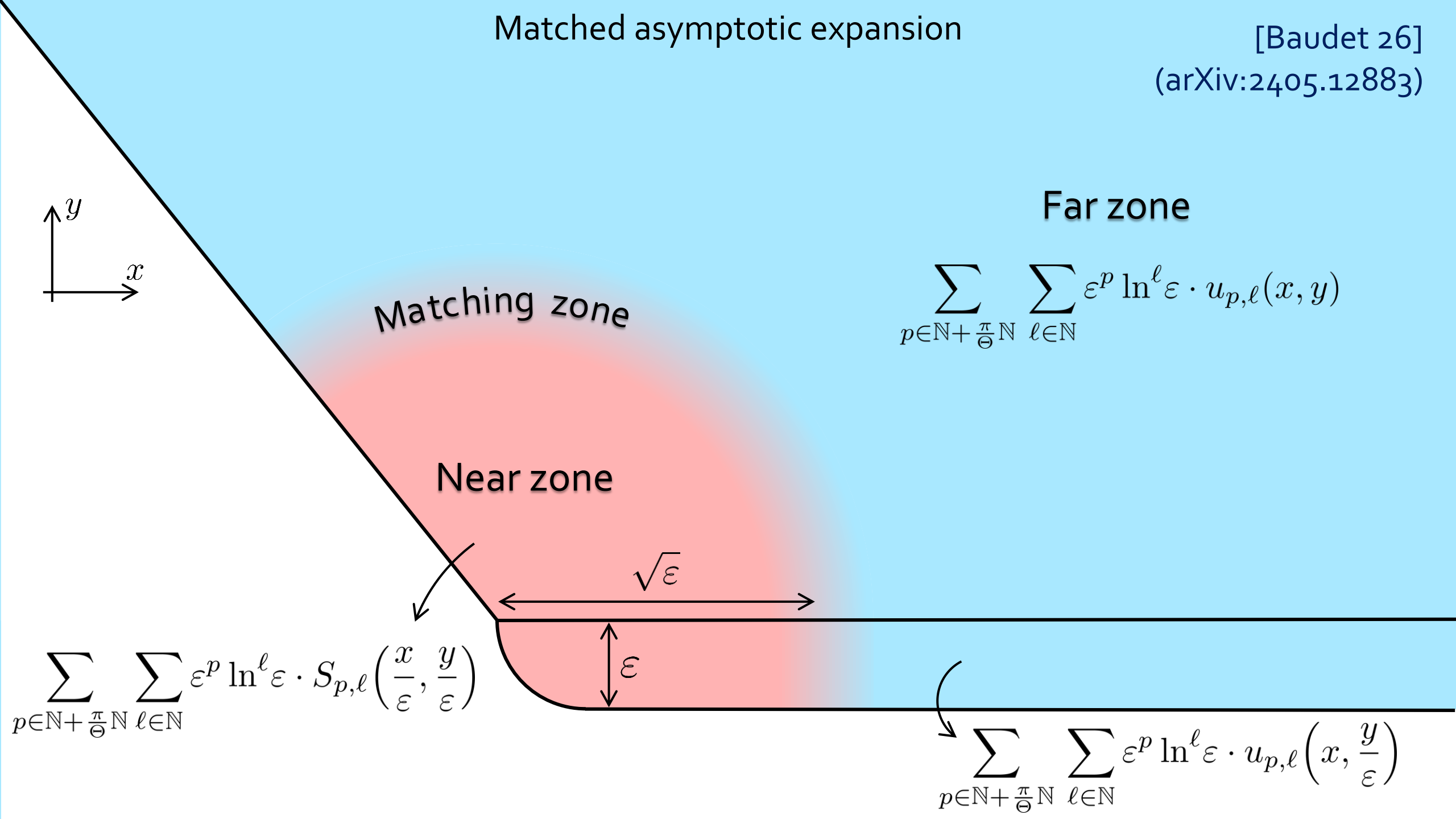
$\sqrt{\varepsilon}$

$\varepsilon$



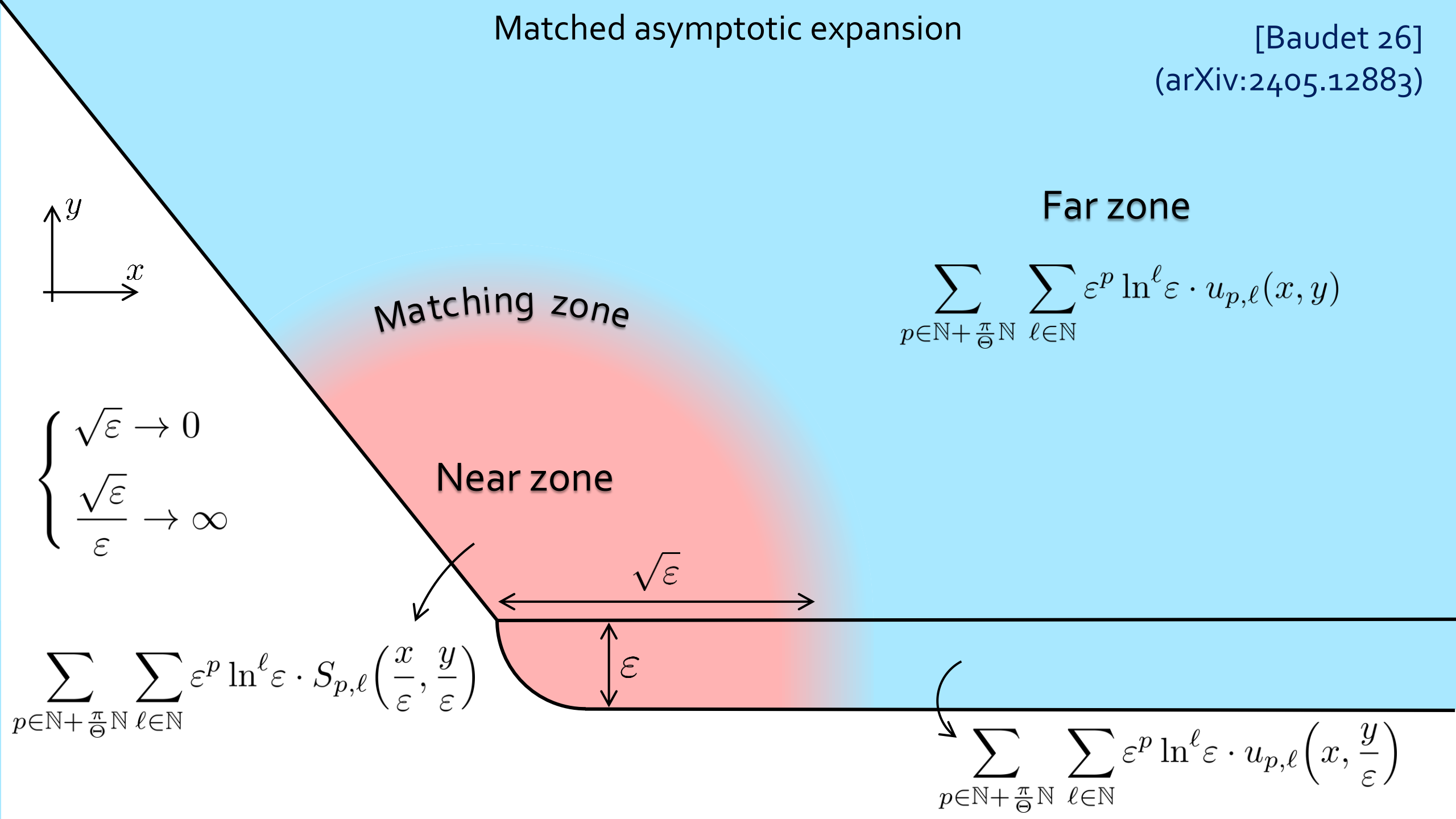
# Matched asymptotic expansion

[Baudet 26]  
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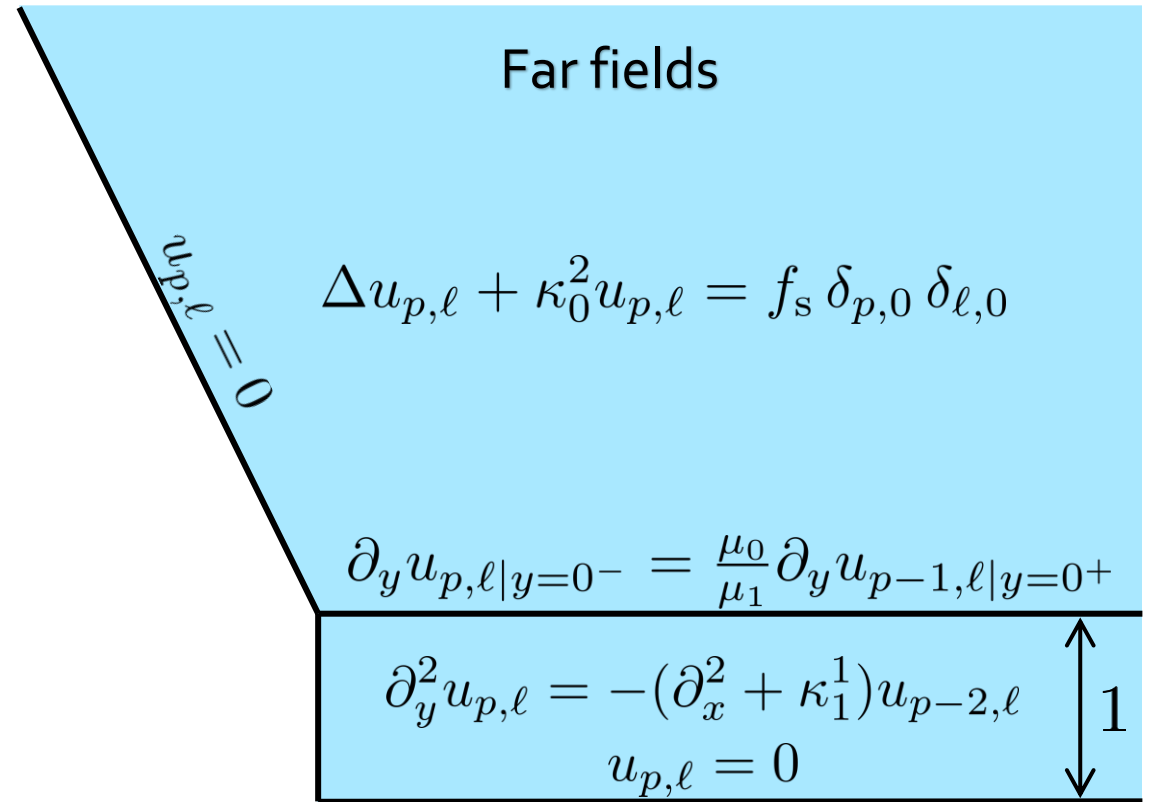
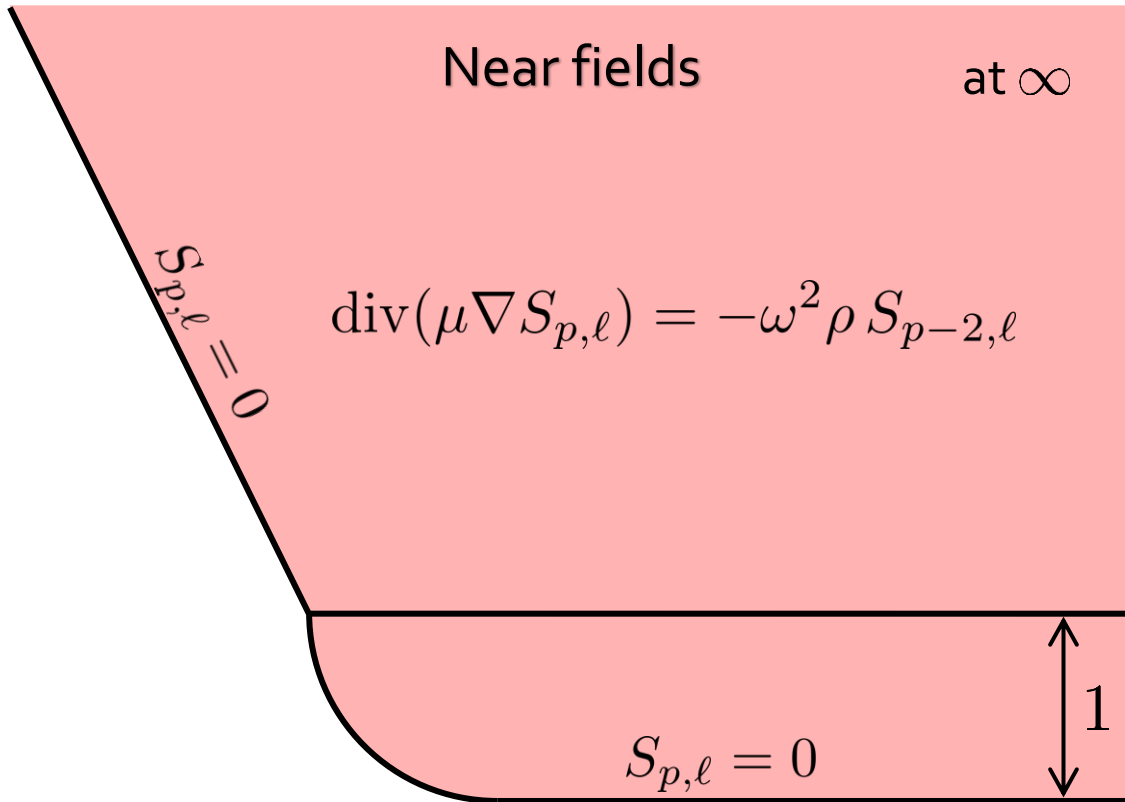


# Matched asymptotic expansion

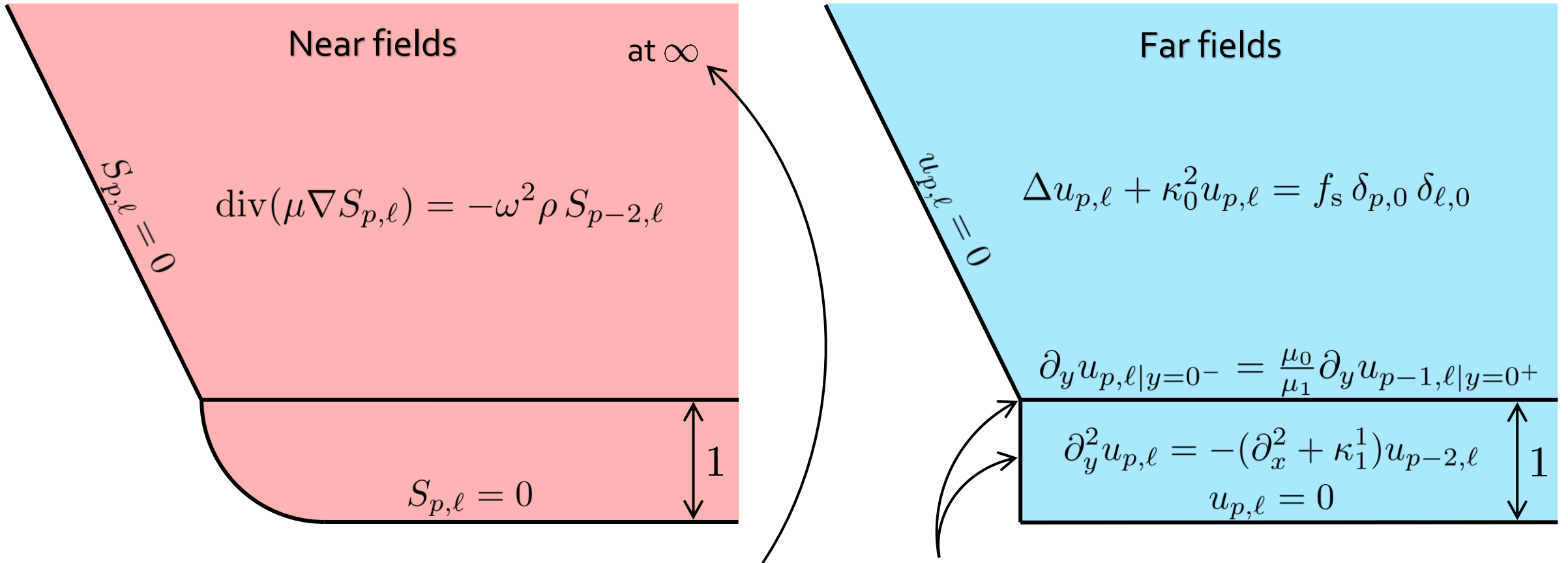
[Baudet 26]  
(arXiv:2405.12883)



Algorithm:  $u_{p,\ell}$  and  $S_{p,\ell}$  are built inductively w.r.t  $p$

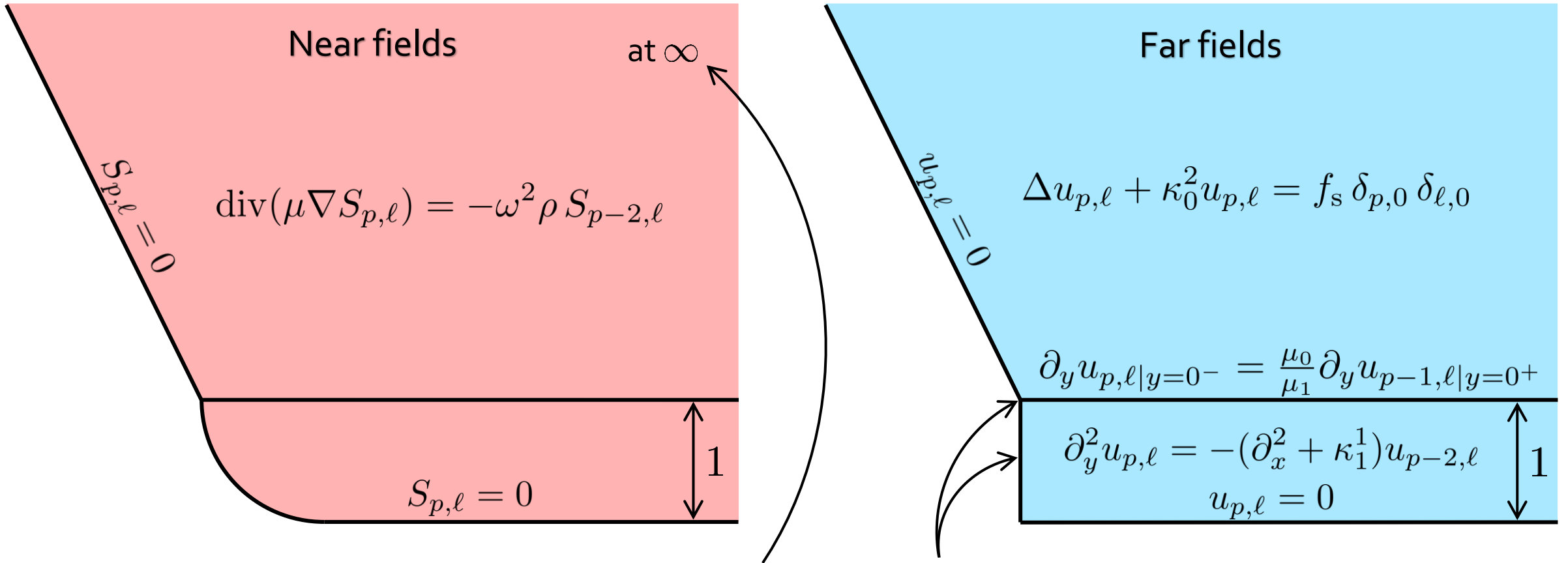


Algorithm:  $u_{p,\ell}$  and  $S_{p,\ell}$  are built inductively w.r.t  $p$



Diverging behaviors due to the matching condition

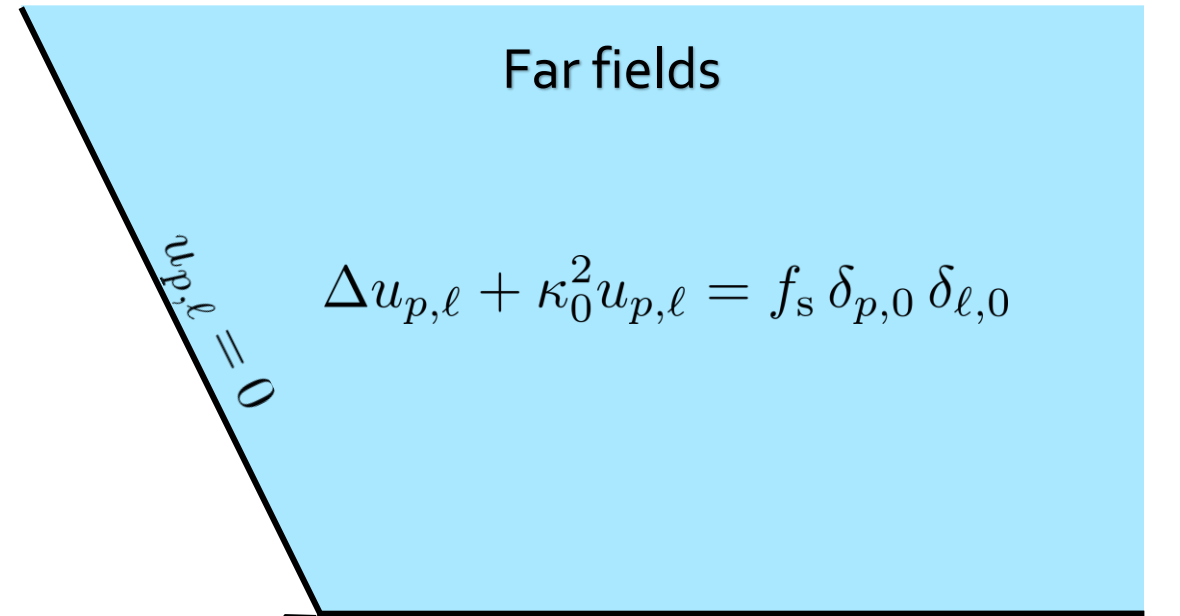
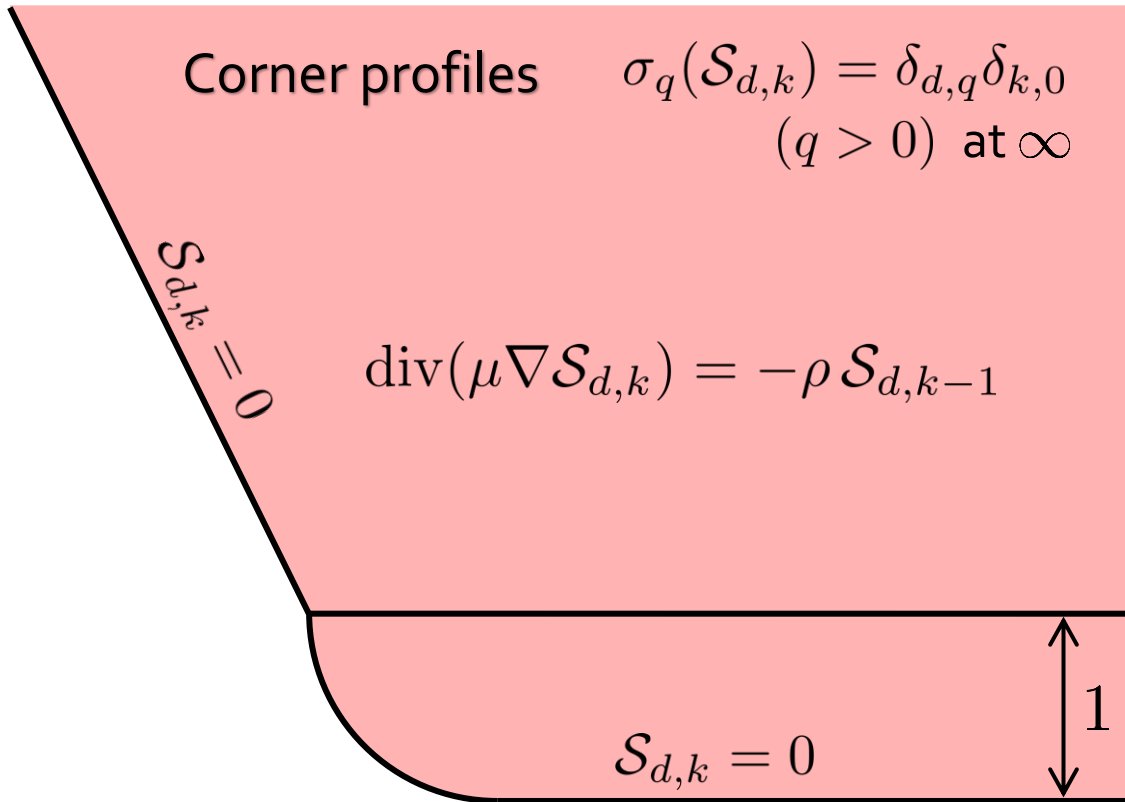
Algorithm:  $u_{p,\ell}$  and  $S_{p,\ell}$  are built inductively w.r.t  $p$



Diverging behaviors due to the matching condition

$$S_{p,\ell} \underset{r \rightarrow \infty}{\approx} \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(S_{p,\ell}) r^d \sin(d\theta) + \text{explicit} \quad \text{and} \quad u_{p,\ell} \underset{r \rightarrow 0}{\approx} \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(u_{p,\ell}) r^d \sin(d\theta) + \text{expl.}$$

Algorithm:  $u_{p,\ell}$  and  $S_{p,\ell}$  are built inductively w.r.t  $p$



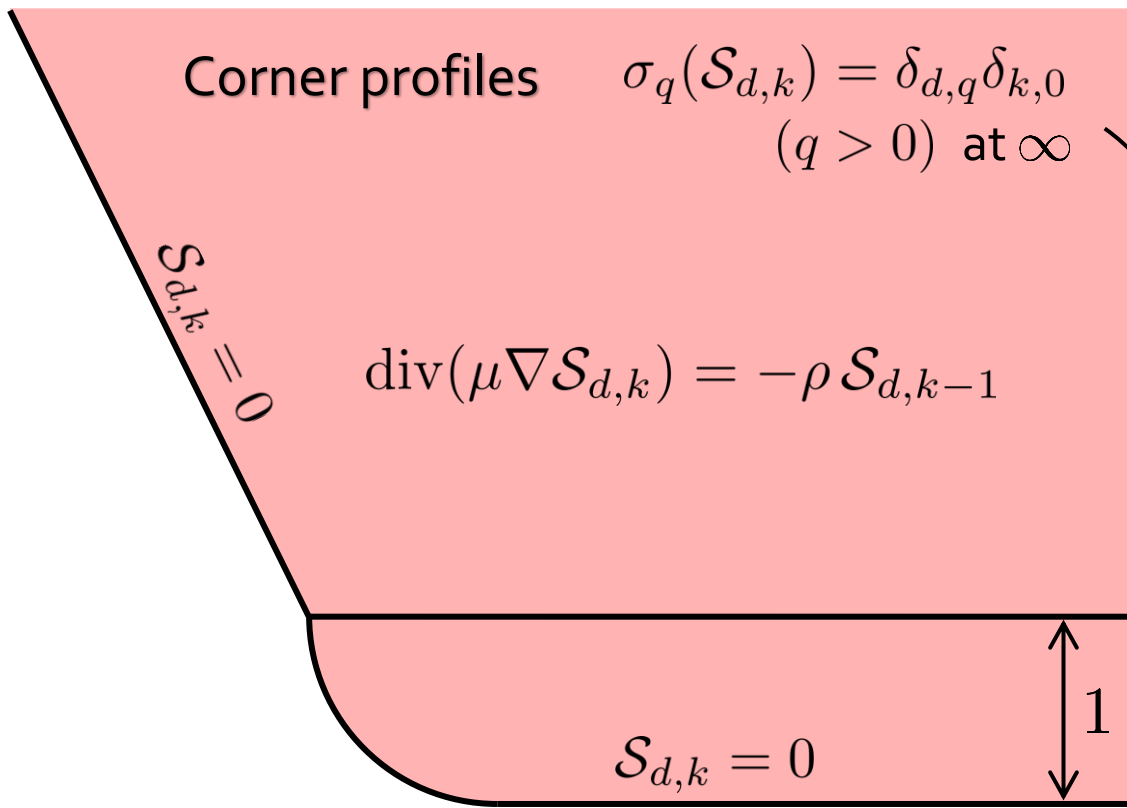
$$u_{p,\ell} = \sum_{n \geq 0} t_n (\partial_x^2 + \kappa_1^2)^n \partial_y u_{p-1-2n,\ell}$$

$$\sigma_d(u_{p,\ell}) = \sum_{\substack{p', \ell' \\ p' < p}} \sum_{d' \in A_{p,p',d}} c_{d,d',p-p',\ell-\ell'}^{u \leftarrow u} \cdot \sigma_{d'}(u_{p',\ell'})$$

( $d < 0$ )

# Algorithm

## Step 1

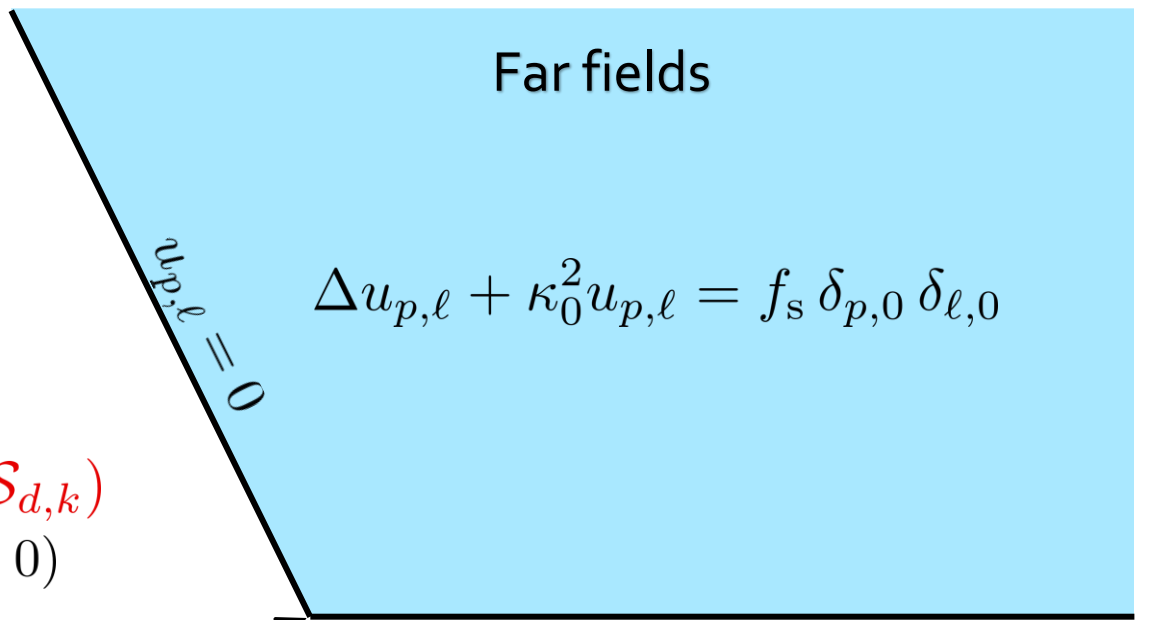


$$\sum_{(q,q',k) \in B_{d,d',p}} \text{explicit coef} \cdot \sigma_q(\mathcal{S}_{q',k})$$

$$\sigma_q(\mathcal{S}_{d,k})$$

( $q < 0$ )

## Step 2



$$u_{p,l} = \sum_{n \geq 0} t_n (\partial_x^2 + \kappa_1^2)^n \partial_y u_{p-1-2n,l}$$

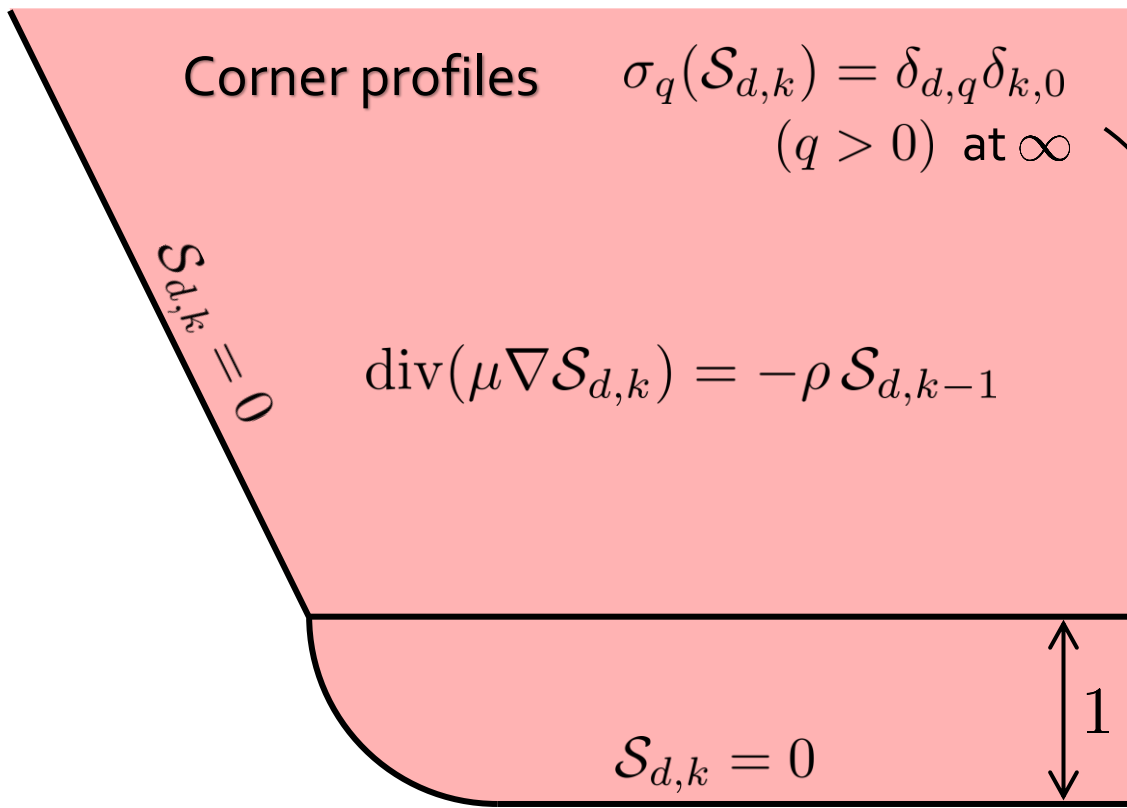
$$\sigma_d(u_{p,l}) = \sum_{\substack{p',l' \\ p' < p}} \sum_{d' \in A_{p,p',d}} c_{d,d',p-p',l-l'} \cdot \sigma_{d'}(u_{p',l'})$$

( $d < 0$ )

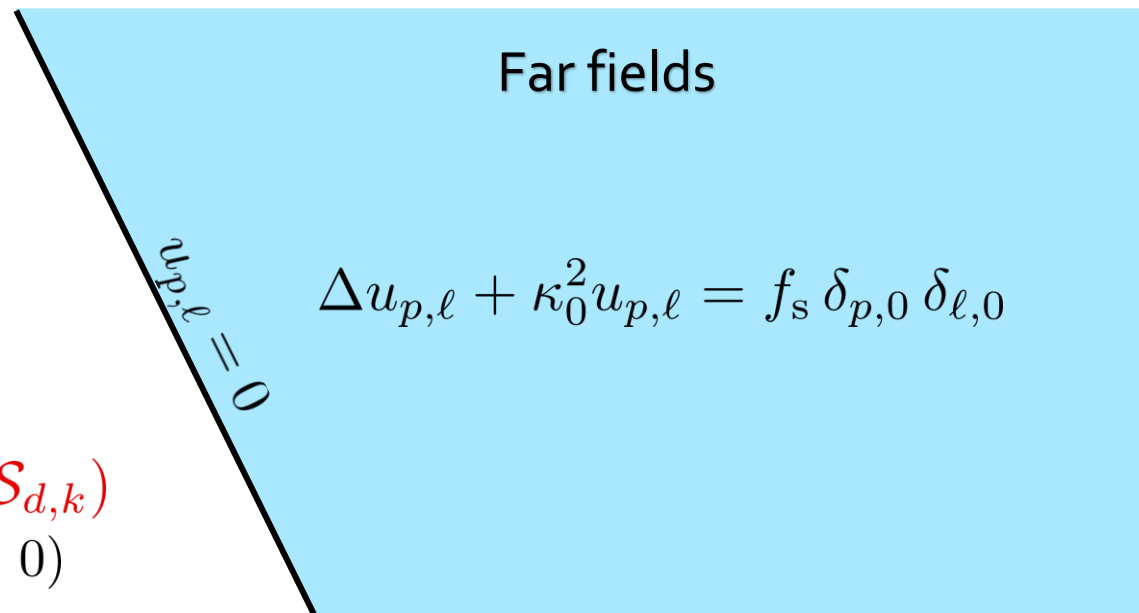


# Algorithm

## Step 1



## Step 2



$$\sigma_q(\mathcal{S}_{d,k})$$

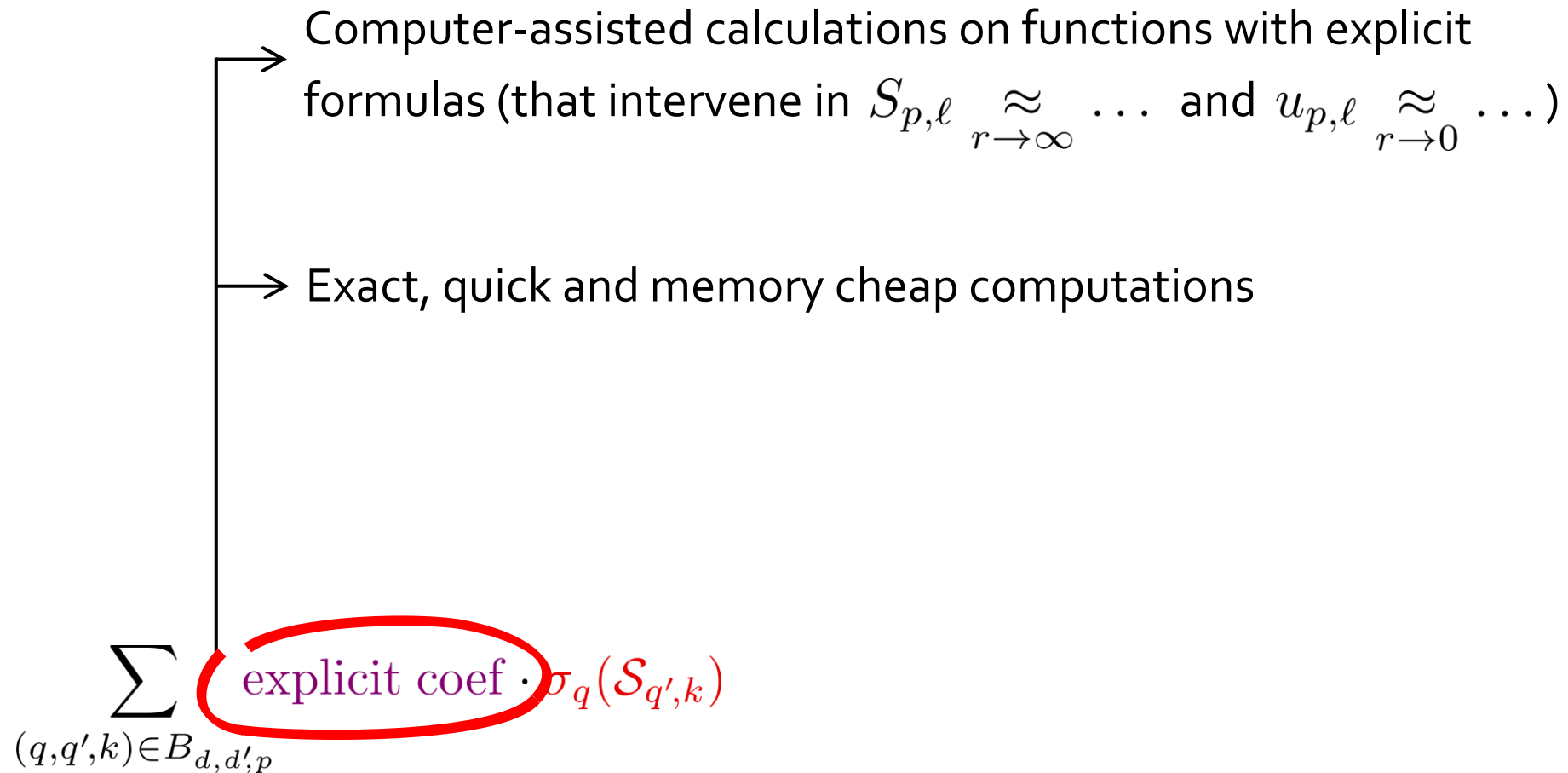
( $q < 0$ )

$$u_{p,l} = \sum_{n \geq 0} t_n (\partial_x^2 + \kappa_1^2)^n \partial_y u_{p-1-2n,l}$$

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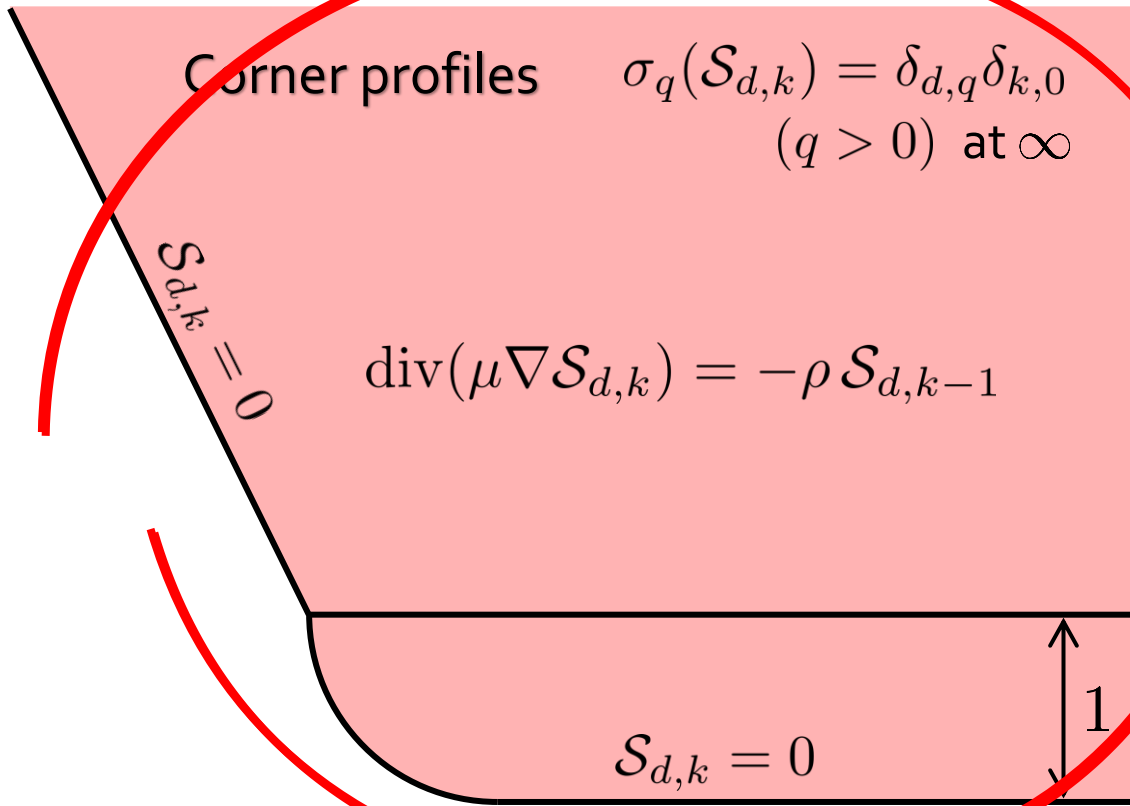
( $d < 0$ )

$$\sum_{(q,q',k) \in B_{d,d',p}} \text{explicit coef} \cdot \sigma_q(\mathcal{S}_{q',k})$$



# Algorithm

## Step 1

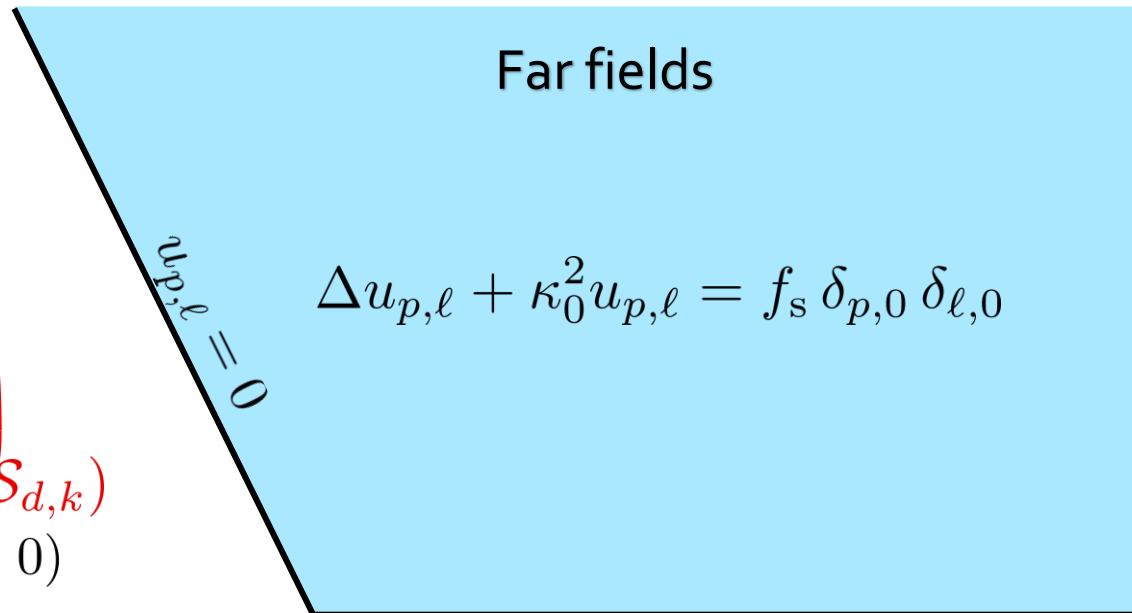


$$\sum_{(q,q',k) \in B_{d,d',p}} \text{explicit coef} \cdot \sigma_q(\mathcal{S}_{q',k})$$

$$\sigma_q(\mathcal{S}_{d,k})$$

( $q < 0$ )

## Step 2

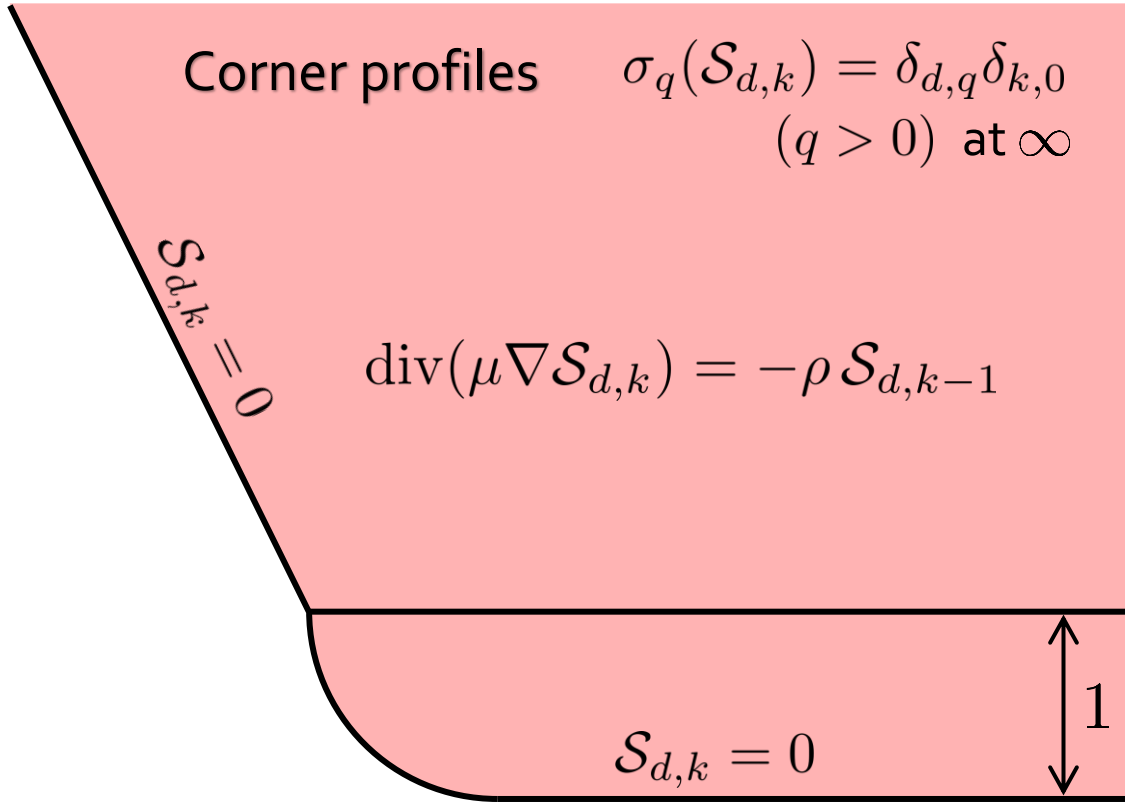


$$u_{p,l} = \sum_{n \geq 0} t_n (\partial_x^2 + \kappa_1^2)^n \partial_y u_{p-1-2n,l}$$

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( $d < 0$ )

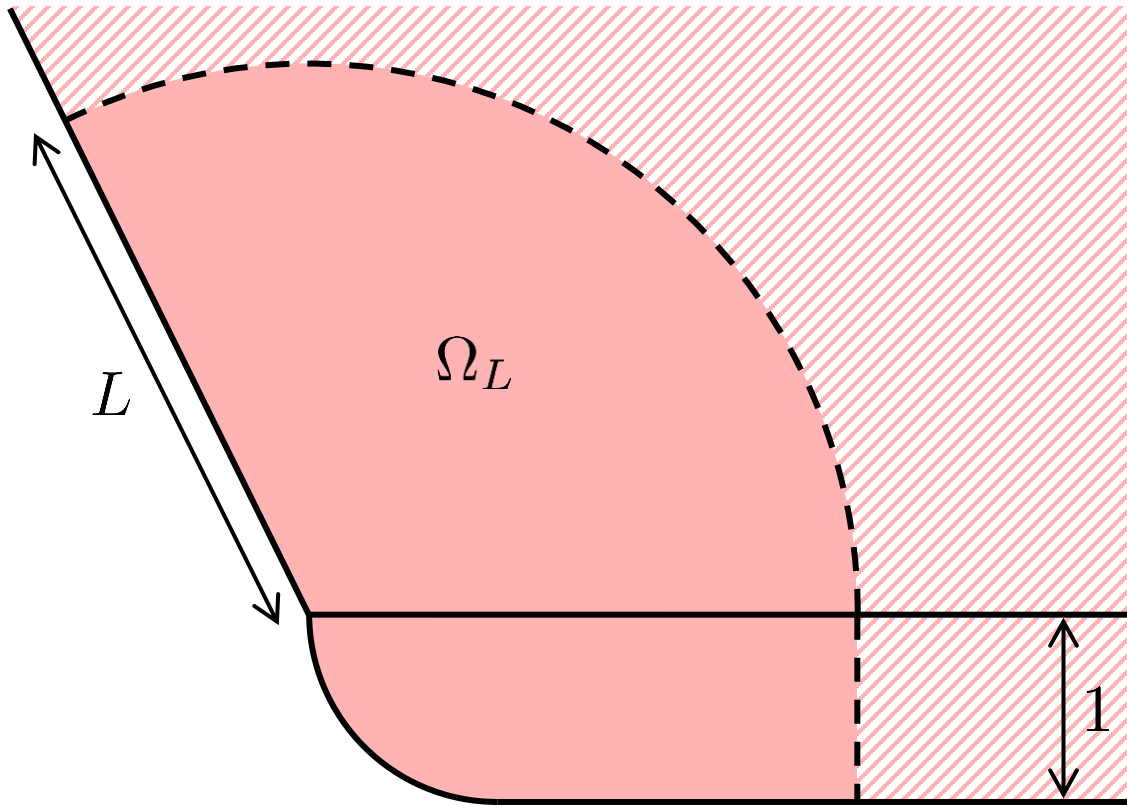
# Computation of $\mathcal{S}_{d,k}$ and $\sigma_q(\mathcal{S}_{d,k})$



$$\mathcal{S}_{d,k} = \text{known part} + \mathcal{S}_{d,k}^V$$

$$\mathcal{S}_{d,k}^V = \sum_{n=1}^N \sigma_{-n \frac{\pi}{\Theta}}(\mathcal{S}_{d,k}) \varphi_n + O(r^{-(N+1) \frac{\pi}{\Theta}})$$

## Computation of $\mathcal{S}_{d,k}$ and $\sigma_q(\mathcal{S}_{d,k})$



$$\mathcal{S}_{d,k} = \text{known part} + \mathcal{S}_{d,k}^V$$

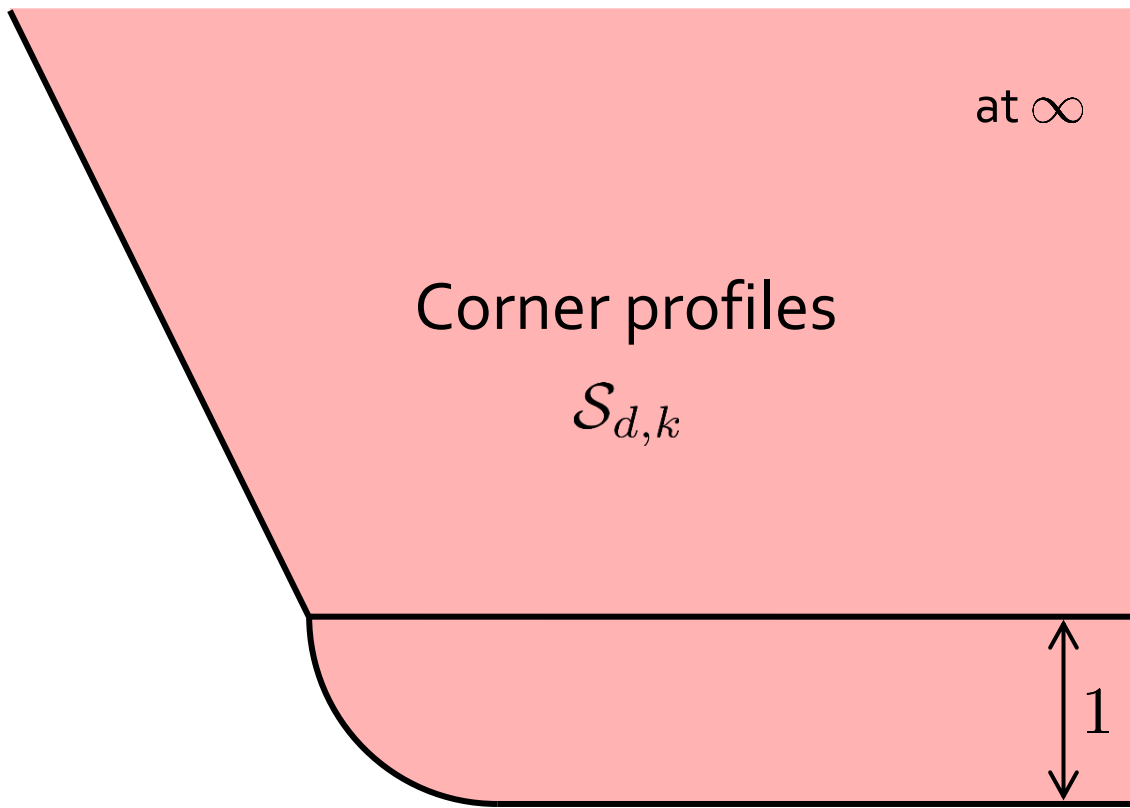
$$\mathcal{S}_{d,k}^V = \sum_{n=1}^N \sigma_{-n\frac{\pi}{\Theta}}(\mathcal{S}_{d,k}) \varphi_n + O(r^{-(N+1)\frac{\pi}{\Theta}})$$

Enriched Galerkin method:

$$V_L = \{v \in H_0^1(\Omega) \mid v = 0 \text{ outside } \Omega_L\} \\ + \text{Span}(\varphi_1, \dots, \varphi_N)$$

# Algorithm

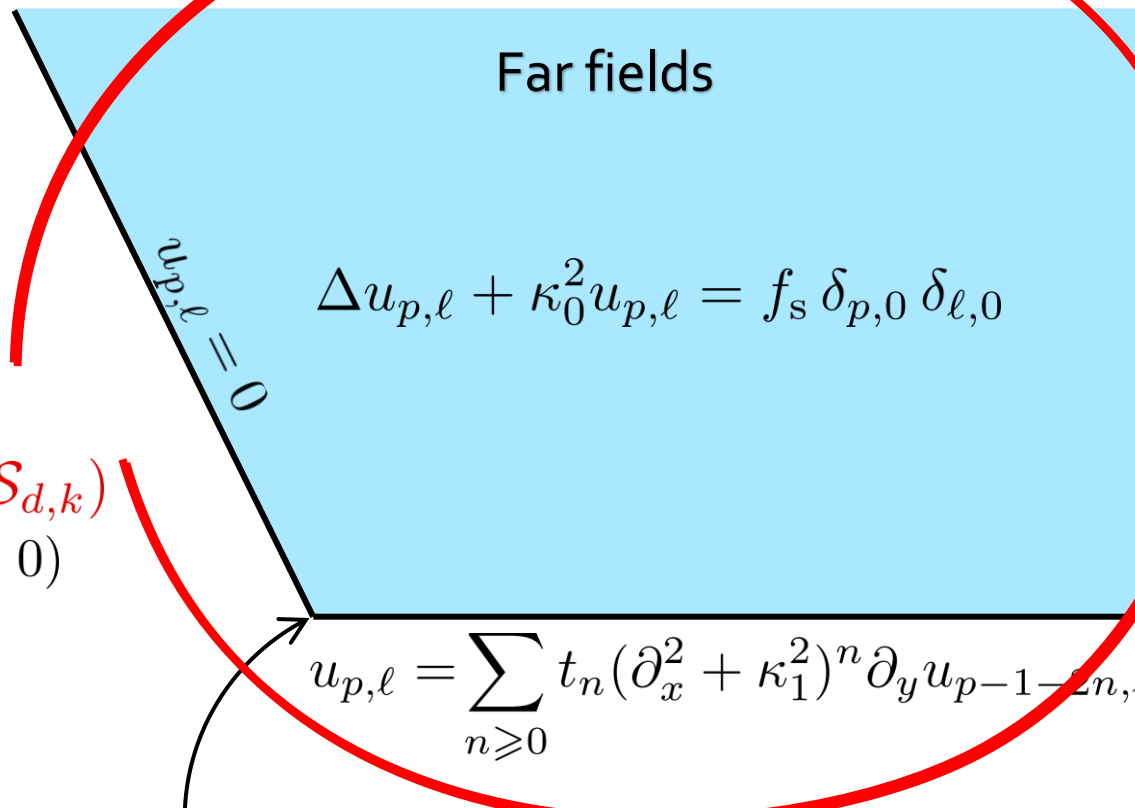
## Step 1



$$\sum_{(q,q',k) \in B_{d,d',p}} \text{explicit coef} \cdot \sigma_q(\mathcal{S}_{q',k})$$

$$\sigma_q(\mathcal{S}_{d,k}) \quad (q < 0)$$

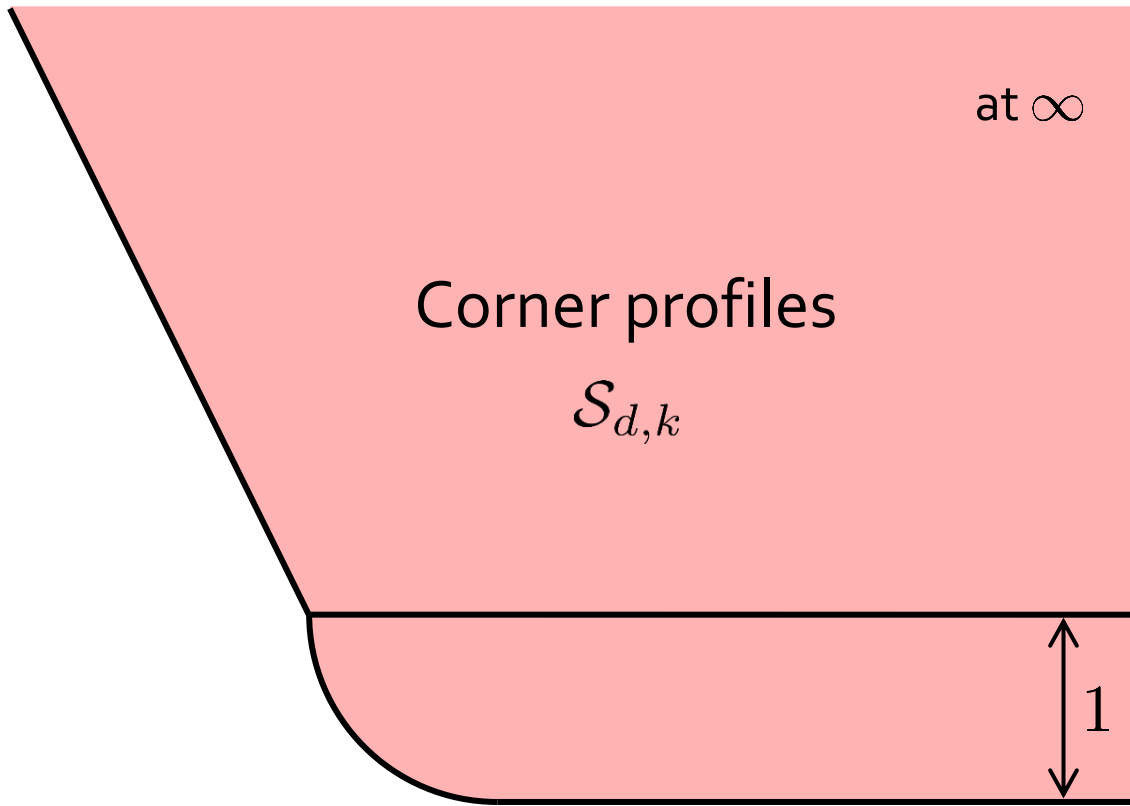
## Step 2



$$\sigma_d(u_{p,l}) \quad (d < 0) = \sum_{\substack{p',l' \\ p' < p}} \sum_{d' \in B_{p,p',d}} c_{d,d',p-p',l-l'} \cdot \sigma_{d'}(u_{p',l'})$$

# Algorithm

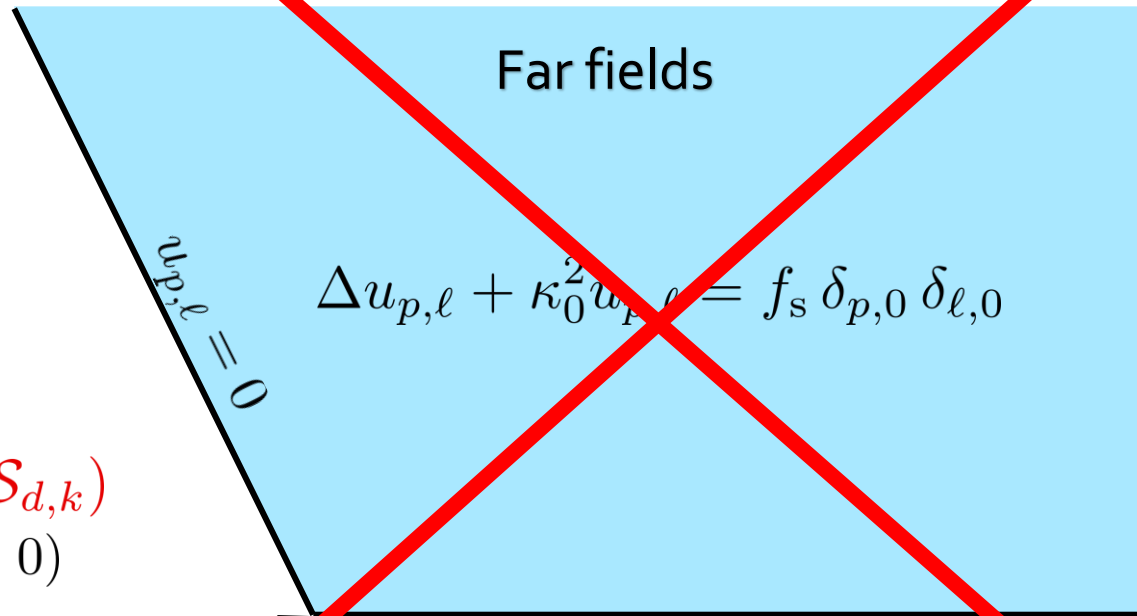
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## Step 2



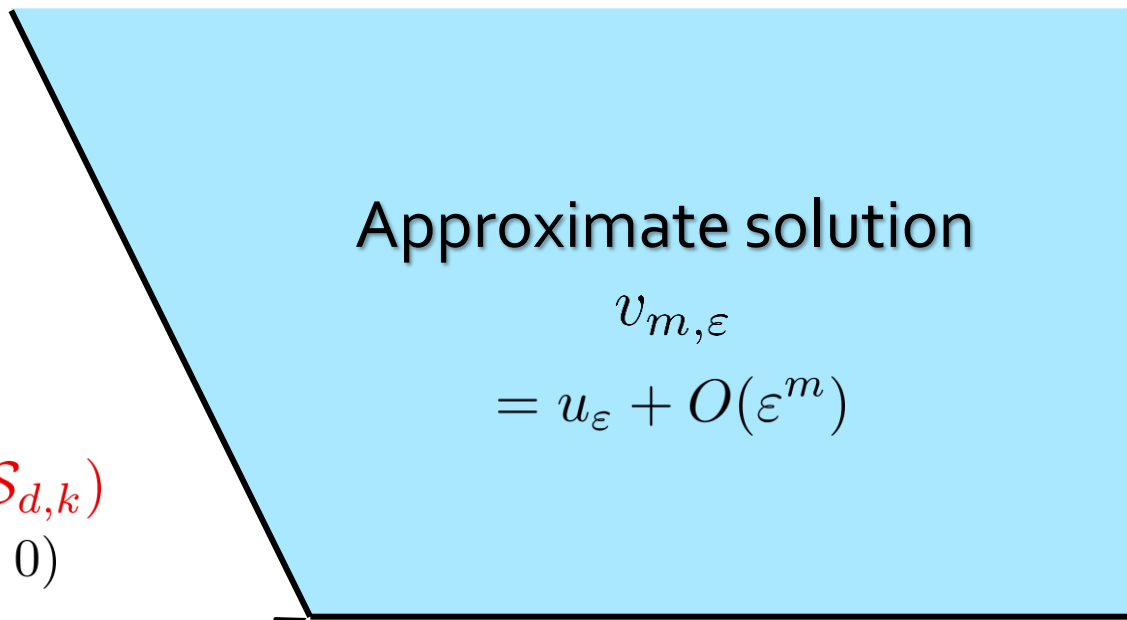
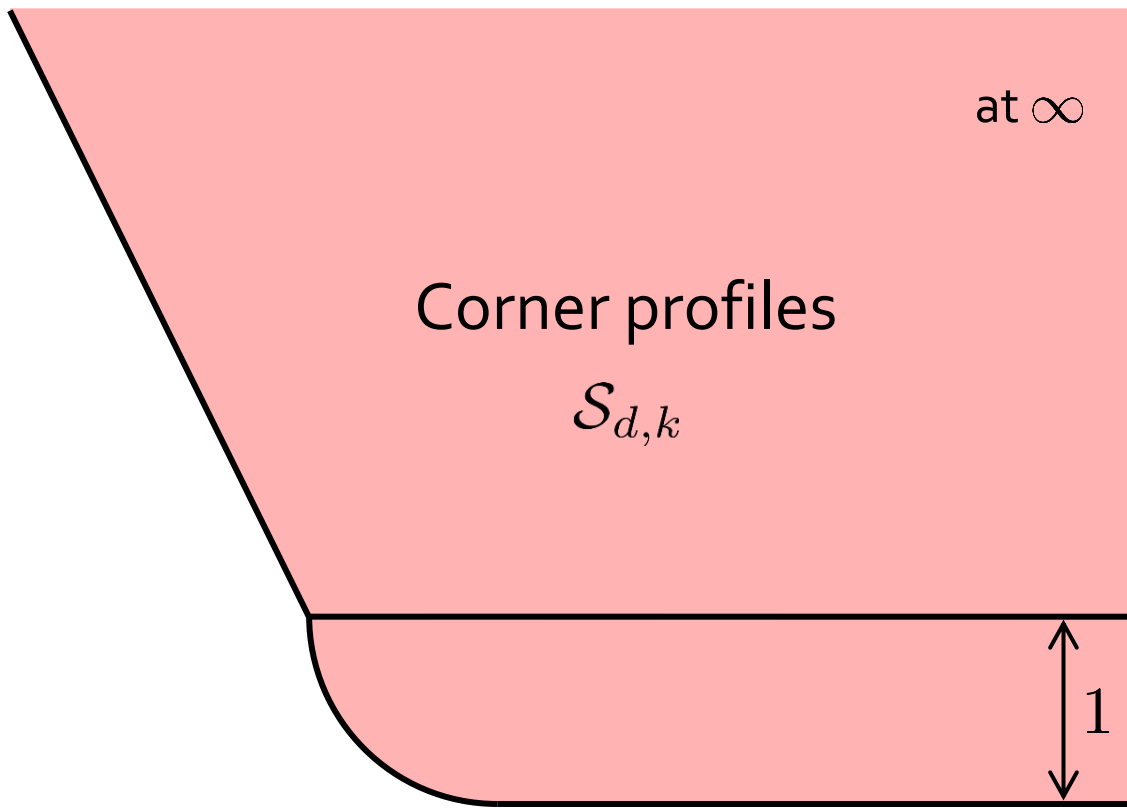
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# Effective models

Step 1

Step 2



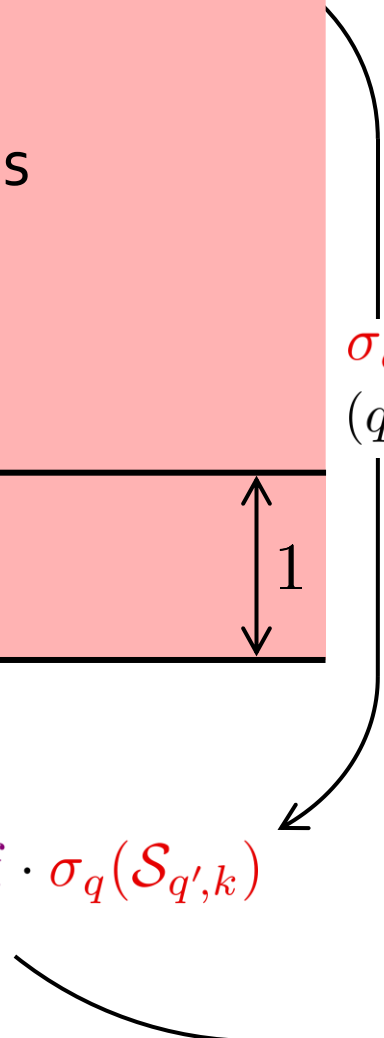
$$\sigma_q(\mathcal{S}_{d,k})$$

( $q < 0$ )

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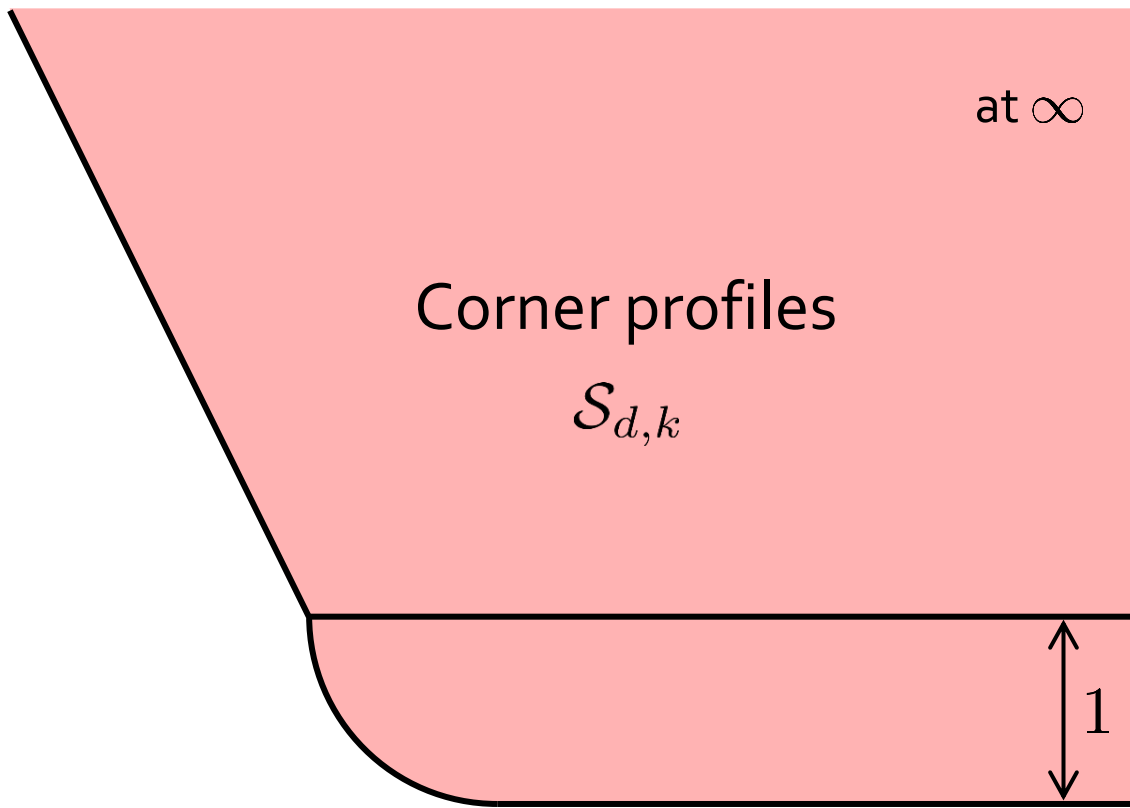
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( $d < 0$ )



# Effective models

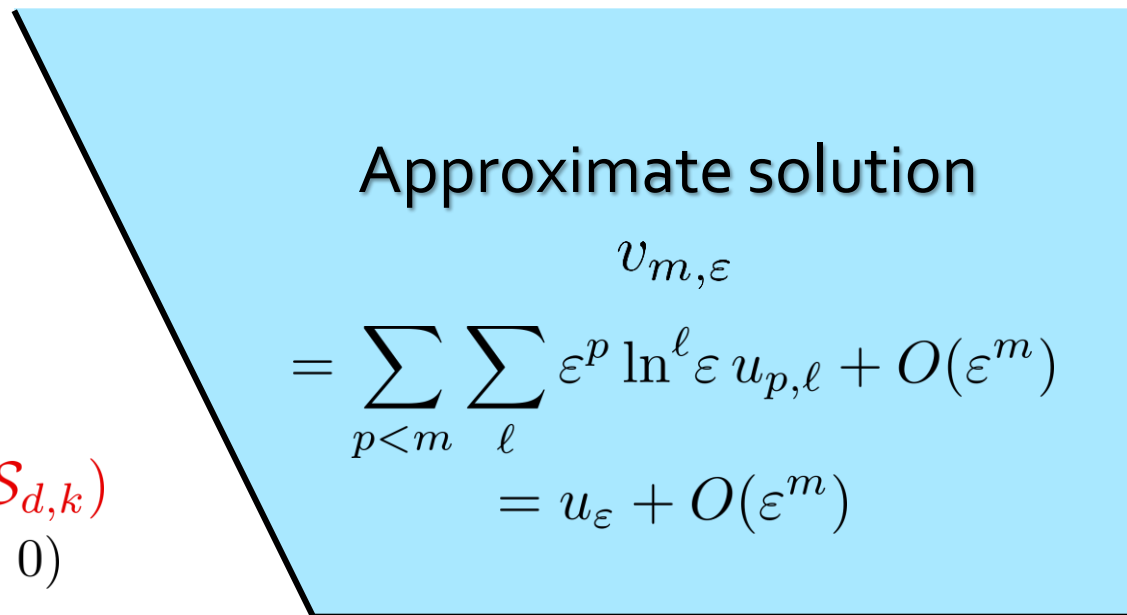
Step 1



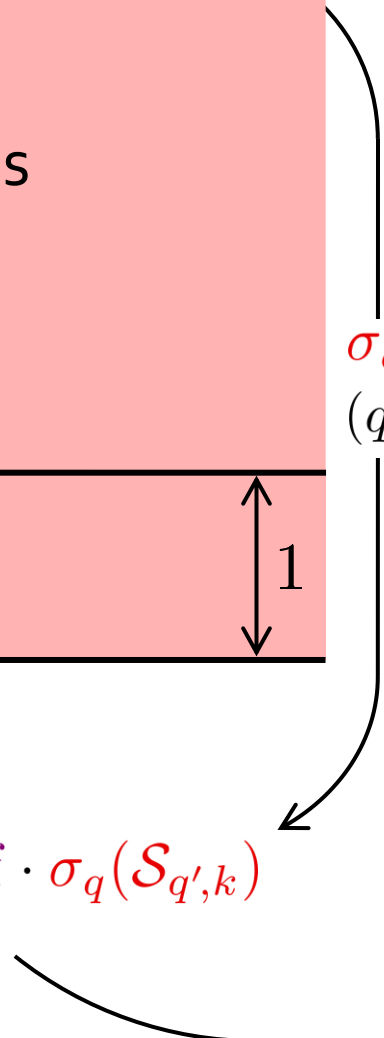
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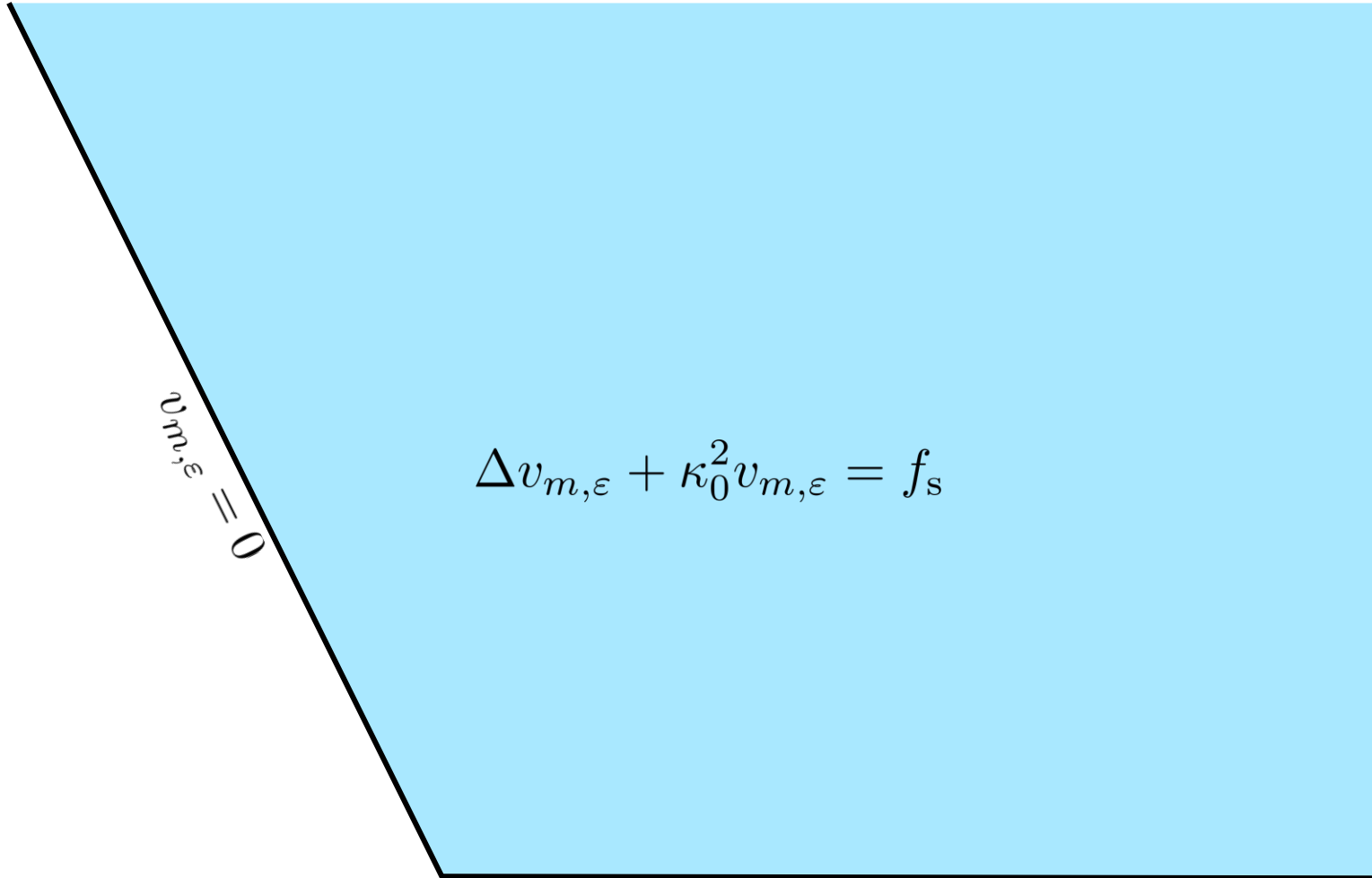
Step 2



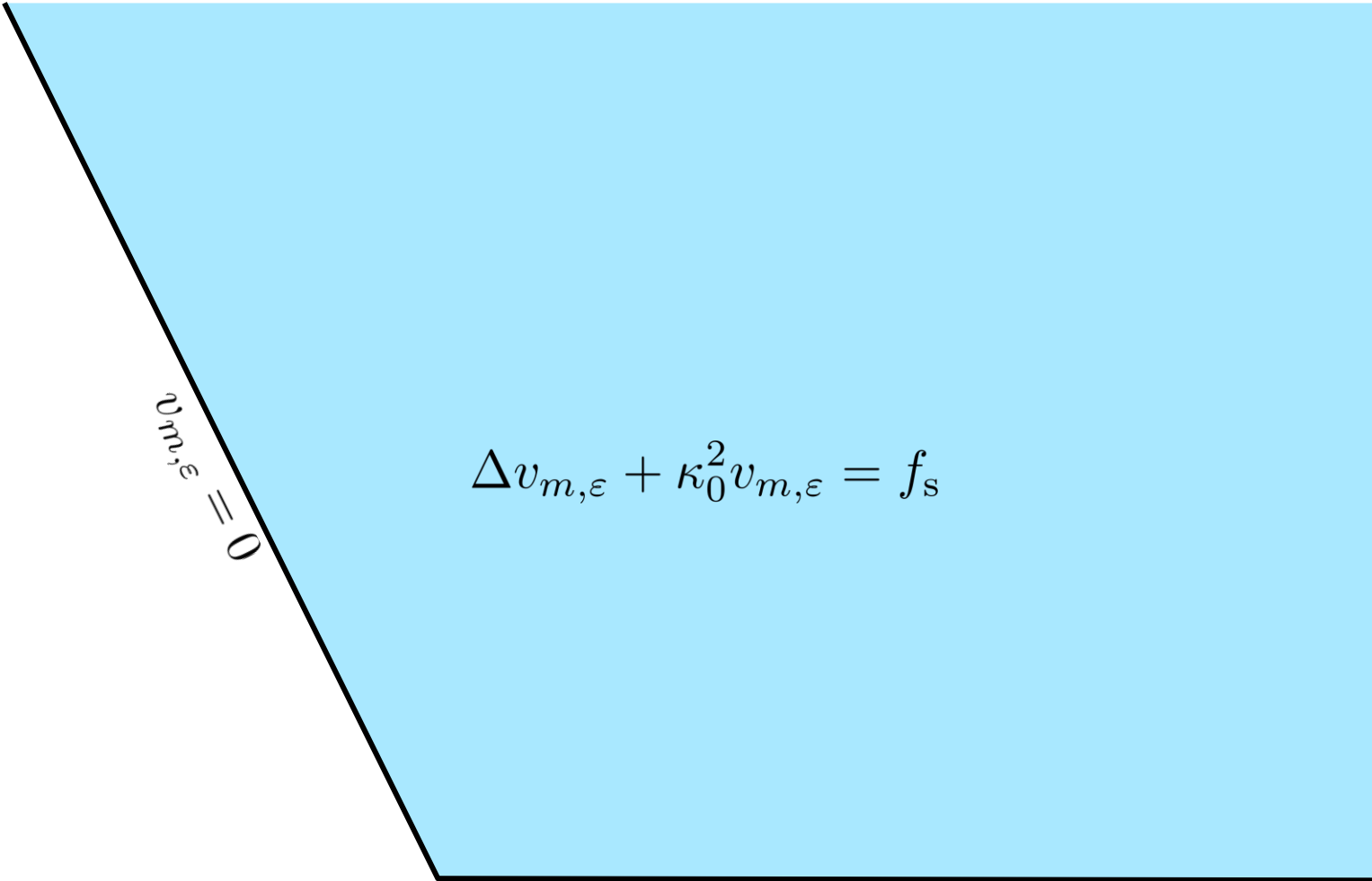
$$\sigma_d(u_{p,\ell}) \quad (d < 0) = \sum_{\substack{p',\ell' \\ p' < p}} \sum_{d' \in A_{p,p',d}} c_{d,d',p-p',\ell-\ell'} \cdot \sigma_{d'}(u_{p',\ell'})$$



# Effective models

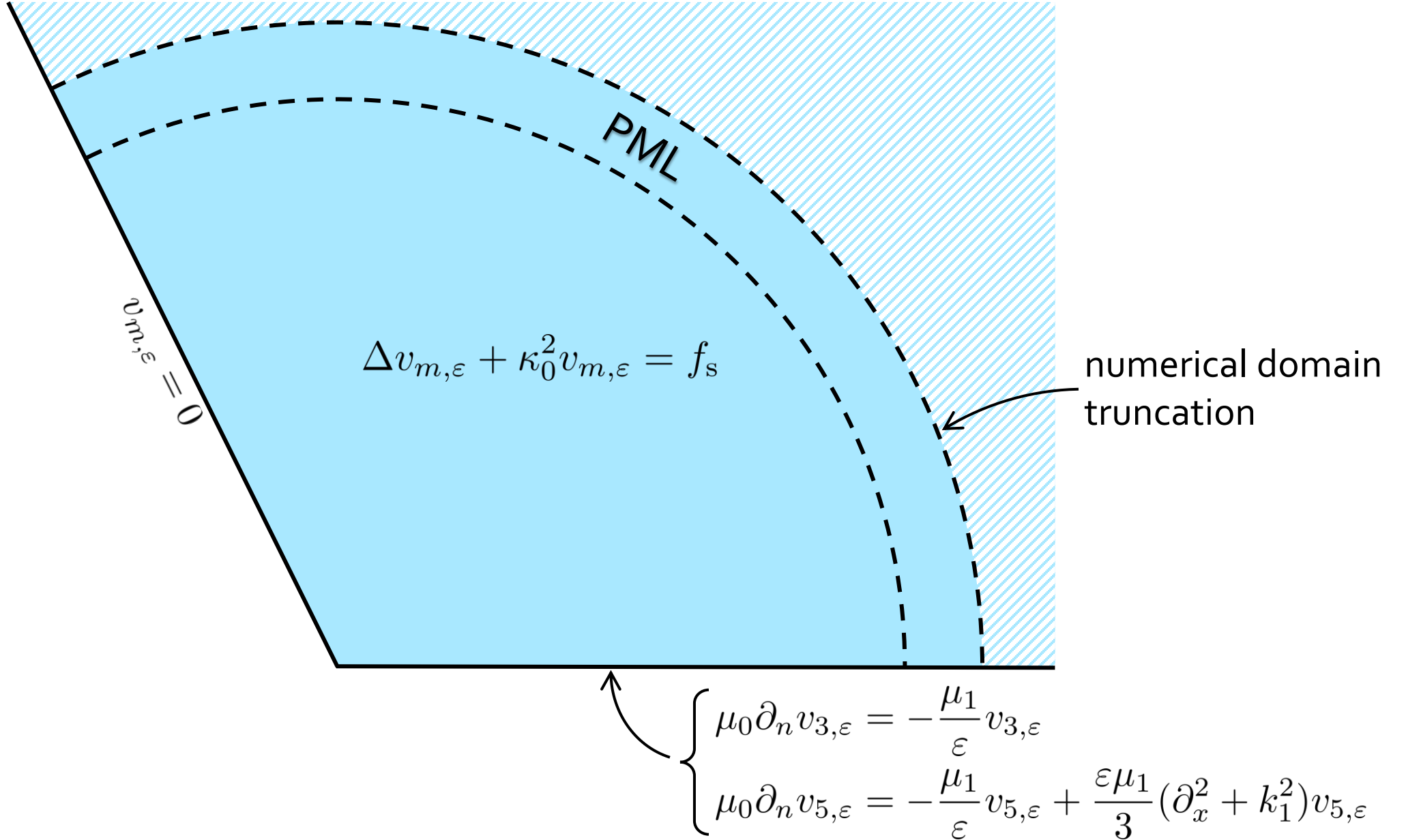


# Effective models



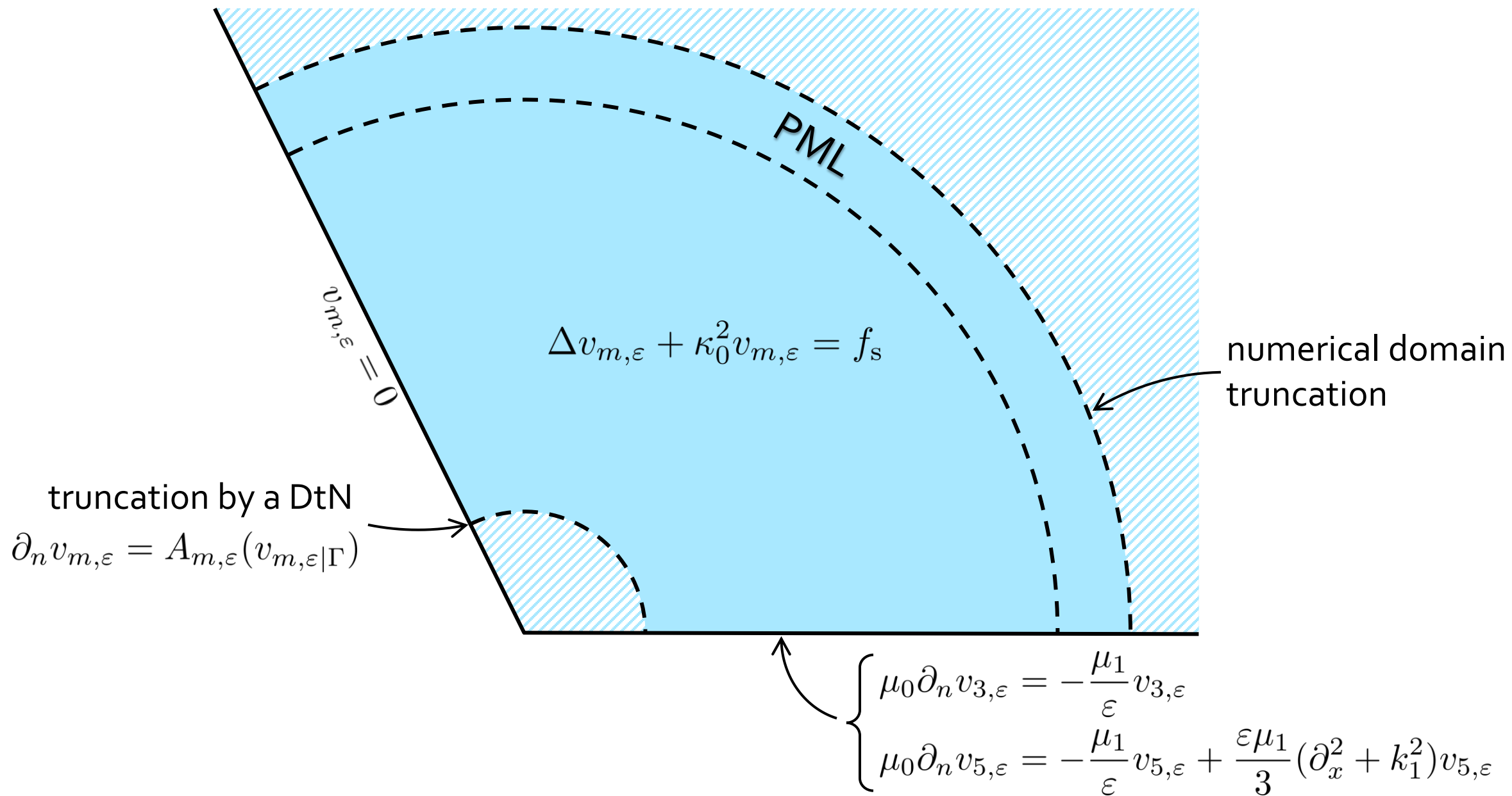
$$\left\{ \begin{array}{l} \mu_0 \partial_n v_{3,\varepsilon} = -\frac{\mu_1}{\varepsilon} v_{3,\varepsilon} \\ \mu_0 \partial_n v_{5,\varepsilon} = -\frac{\mu_1}{\varepsilon} v_{5,\varepsilon} + \frac{\varepsilon \mu_1}{3} (\partial_x^2 + k_1^2) v_{5,\varepsilon} \end{array} \right.$$

# Effective models



Previous attempt:  
[Auvray, Vial 18, 19]

## Effective models



# Construction of the DtN operator in $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

We want  $\partial_n \tilde{u}_{m,\varepsilon} = A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}|_\Gamma) + O(\varepsilon^m)$  with  $\tilde{u}_{m,\varepsilon} := \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon u_{p,\ell}$

Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum (\text{unknown coef}) \times (\text{known function})$

Step 2: build  $A_{m,\varepsilon}$  such that  $A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}) = \sum (\text{same coef}) \times \partial_n (\text{same function})$

Construction of the DtN operator in  $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

We want  $\partial_n \tilde{u}_{m,\varepsilon} = A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}|_\Gamma) + O(\varepsilon^m)$  with  $\tilde{u}_{m,\varepsilon} := \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon u_{p,\ell}$

Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum (\text{unknown coef}) \times (\text{known function})$

Reminder:  $u_{p,\ell} \underset{r \rightarrow 0}{\approx} \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(u_{p,\ell}) r^d \sin(d\theta) + \text{explicit}$

Construction of the DtN operator in  $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

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Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum (\text{unknown coef}) \times (\text{known function})$

Reminder:  $u_{p,\ell} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(u_{p,\ell}) r^d \sin(d\theta) + \text{explicit}$

# Construction of the DtN operator in $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

We want  $\partial_n \tilde{u}_{m,\varepsilon} = A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}|_\Gamma) + O(\varepsilon^m)$  with  $\tilde{u}_{m,\varepsilon} := \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon u_{p,\ell}$

Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum (\text{unknown coef}) \times (\text{known function})$

Reminder:  $u_{p,\ell} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(u_{p,\ell}) r^d \sin(d\theta) + \text{explicit}$

So  $\tilde{u}_{m,\varepsilon} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \left( \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon \cdot \sigma_d(u_{p,\ell}) \right) \cdot \Phi_{m,\varepsilon,d} \rightarrow = r^d \sin(d\theta) + \dots$

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Reminder:  $u_{p,\ell} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(u_{p,\ell}) r^d \sin(d\theta) + \text{explicit}$

So  $\tilde{u}_{m,\varepsilon} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \left( \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon \cdot \sigma_d(u_{p,\ell}) \right) \cdot \Phi_{m,\varepsilon,d} \rightarrow = r^d \sin(d\theta) + \dots$

using  $\sigma_d(u_{p,\ell}) = \sum_{\substack{p',\ell' \\ p' < p}} \sum_{d' \in A_{p,p',d}} c_{d,d',p-p',\ell-\ell'} \cdot \sigma_{d'}(u_{p',\ell'})$

# Construction of the DtN operator in $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

We want  $\partial_n \tilde{u}_{m,\varepsilon} = A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}|_\Gamma) + O(\varepsilon^m)$  with  $\tilde{u}_{m,\varepsilon} := \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon u_{p,\ell}$

Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum (\text{unknown coef}) \times (\text{known function})$

Reminder:  $u_{p,\ell} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \sigma_d(u_{p,\ell}) r^d \sin(d\theta) + \text{explicit}$

$$\begin{aligned} \text{So } \tilde{u}_{m,\varepsilon} &= \sum_{d \in \frac{\pi}{\Theta} \mathbb{Z}^*} \left( \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon \cdot \sigma_d(u_{p,\ell}) \right) \cdot \Phi_{m,\varepsilon,d} \\ &= \sum_{d \in \frac{\pi}{\Theta} \mathbb{N}^*} \left( \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon \cdot \sigma_d(u_{p,\ell}) \right) \cdot \Psi_{m,\varepsilon,d} \end{aligned} \quad \begin{array}{l} \nearrow \\ \nearrow \end{array} = r^d \sin(d\theta) + \dots$$

using  $\sigma_d(u_{p,\ell}) = \sum_{\substack{p',\ell' \\ p' < p}} \sum_{d' \in A_{p,p',d}} c_{d,d',p-p',\ell-\ell'} \cdot \sigma_{d'}(u_{p',\ell'})$

$(d < 0)$

## Construction of the DtN operator in $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

We want  $\partial_n \tilde{u}_{m,\varepsilon} = A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}|_\Gamma) + O(\varepsilon^m)$  with  $\tilde{u}_{m,\varepsilon} := \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon u_{p,\ell}$

Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{N}^*} s_{m,\varepsilon,d} \Psi_{m,\varepsilon,d}$

Step 2:  $(\Psi_{m,\varepsilon,d})_d$  is orthonormalized by Gram-Schmidt

We build maps  $t_{m,\varepsilon,d} : \sum_{d \in \frac{\pi}{\Theta} \mathbb{N}^*} s_d \Psi_{m,\varepsilon,d} \mapsto s_d$

We define  $A_{m,\varepsilon} : v \mapsto \sum_{d \in \frac{\pi}{\Theta} \mathbb{N}^*} t_{m,\varepsilon,d}(v) \cdot \partial_n \Psi_{m,\varepsilon,d}$

Construction of the DtN operator in  $\partial_n v_{m,\varepsilon} = A_{m,\varepsilon}(v_{m,\varepsilon}|_\Gamma)$

We want  $\partial_n \tilde{u}_{m,\varepsilon} = A_{m,\varepsilon}(\tilde{u}_{m,\varepsilon}|_\Gamma) + O(\varepsilon^m)$  with  $\tilde{u}_{m,\varepsilon} := \sum_{p < m} \sum_{\ell} \varepsilon^p \ln^\ell \varepsilon u_{p,\ell}$

Step 1: modal decomposition  $\tilde{u}_{m,\varepsilon} = \sum_{d \in \frac{\pi}{\Theta} \mathbb{N}^*} s_{m,\varepsilon,d} \Psi_{m,\varepsilon,d}$

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We define  $A_{m,\varepsilon} : v \mapsto \sum_{d \in \frac{\pi}{\Theta} \mathbb{N}^*} t_{m,\varepsilon,d}(v) \cdot \partial_n \Psi_{m,\varepsilon,d}$

Only a finite number of  $d$  is considered  $\rightarrow$  the DtN introduces an error  $O\left(\left(\frac{R_{\text{DtN}}}{R_{\text{source}}}\right)^{N \frac{\pi}{\Theta}}\right)$

## Effective models

Theorem: stability condition  $\varepsilon \leq C \frac{(R_{\text{DtN}})^a}{N^3 [\ln R_{\text{DtN}}]}$

$= \begin{cases} 1 & \text{for } m = 3 \\ 4/3 & \text{for } m = 5 \end{cases}$

polynomial

$\Rightarrow$  effective model well posed and uniformly stable w.r.t.  $\varepsilon$

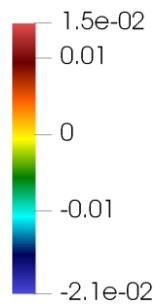
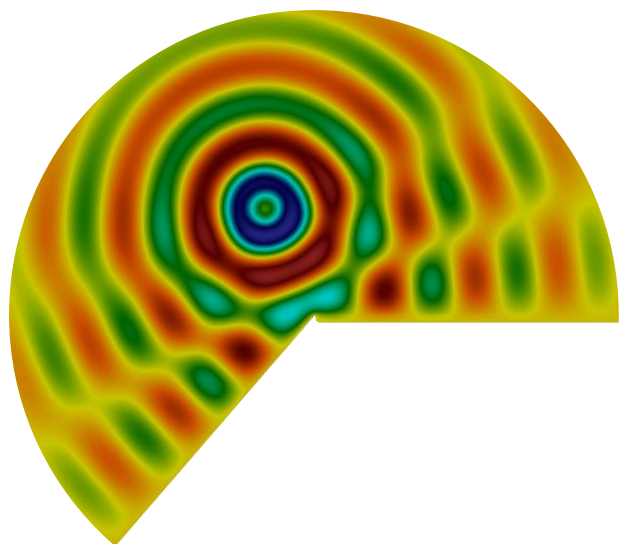
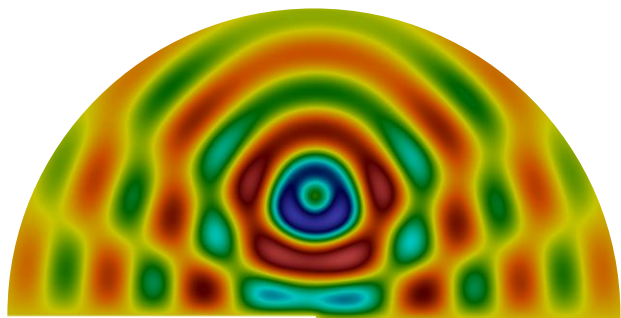
Theorem:  $\|v_{m,\varepsilon} - u_\varepsilon\|_{H^1} = O(\varepsilon^m [\ln \varepsilon])$

with  $N := \lceil m \frac{\Theta}{\pi} \log_2 \varepsilon \rceil$  and  $R_{\text{DtN}} \leq R_{\text{source}}/2$

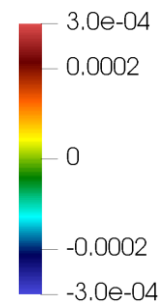
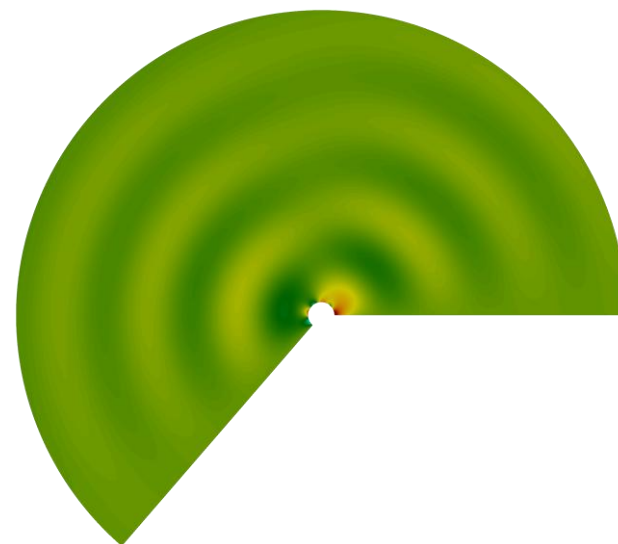
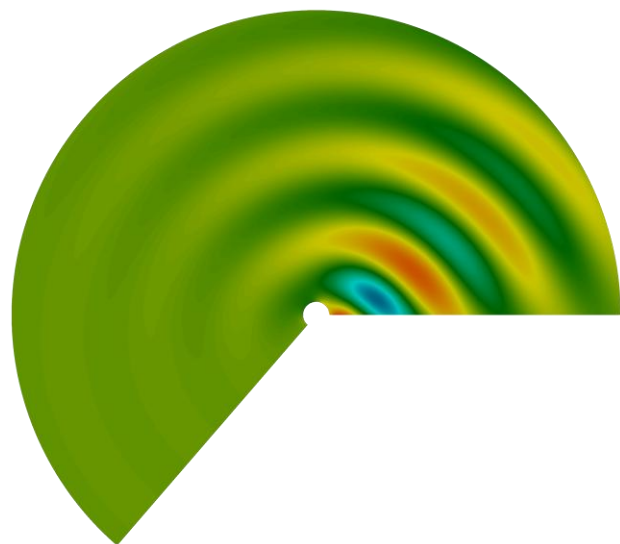
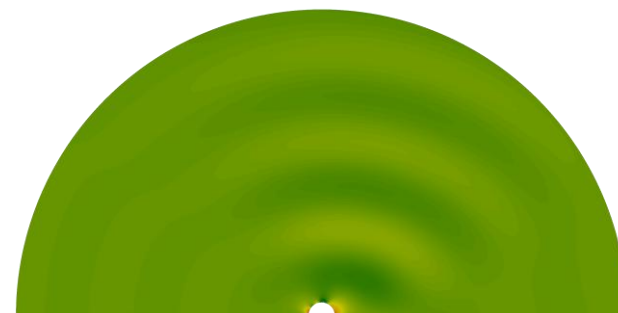
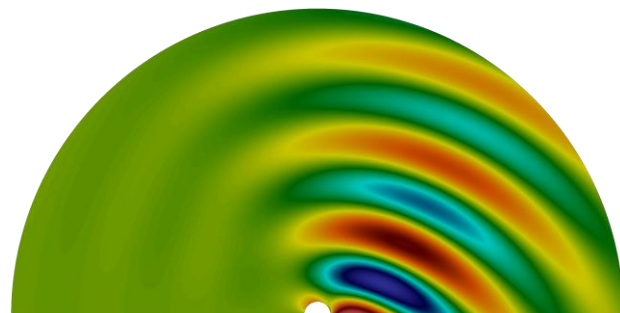
It comes from a more general estimate:  $\|v_{m,\varepsilon} - u_\varepsilon\|_{H^1} \lesssim \left(\frac{\varepsilon}{R_{\text{DtN}}}\right)^m [\ln \varepsilon] N^3 + \left(\frac{R_{\text{DtN}}}{R_{\text{source}}}\right)^{N \frac{\pi}{\Theta}}$

# Numerical results

Exact solutions

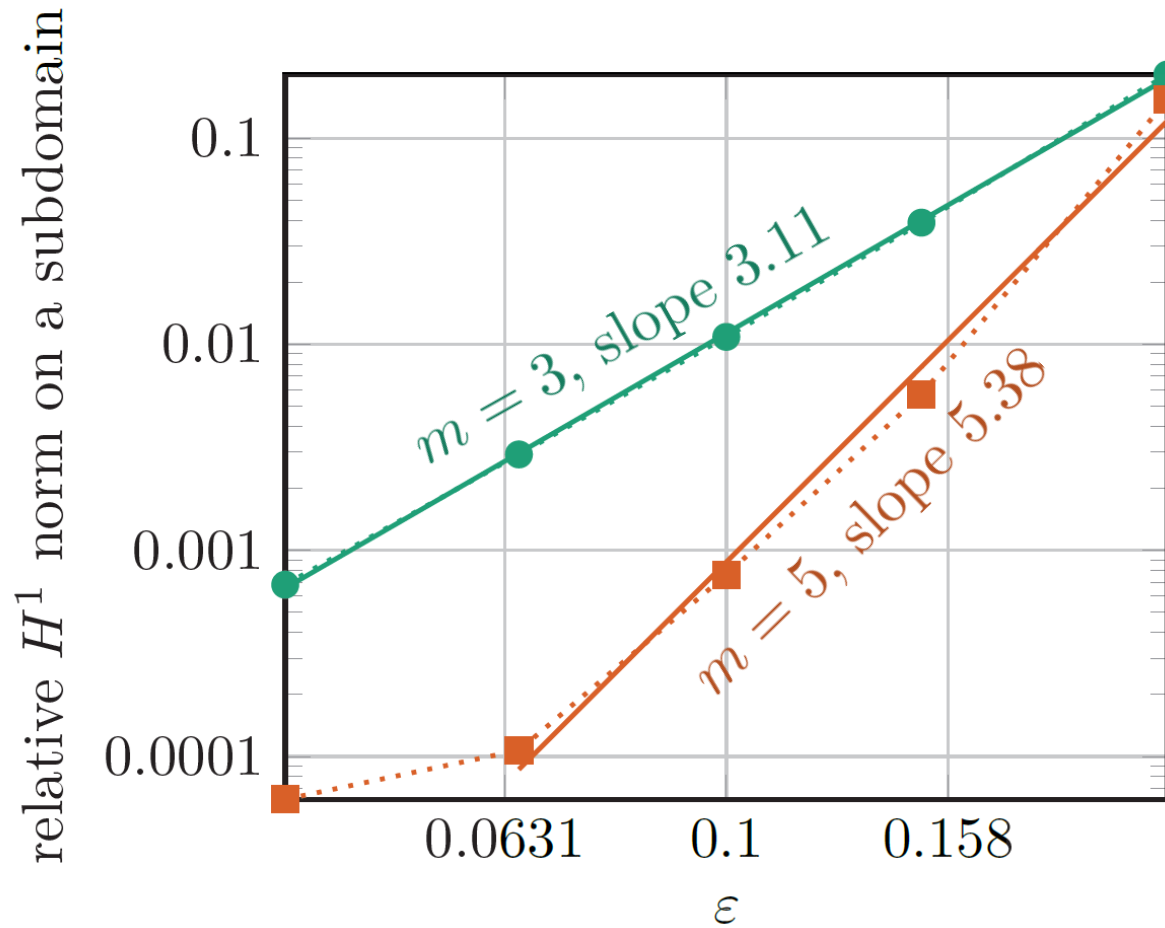


Errors for  $m = 3$  and  $5$

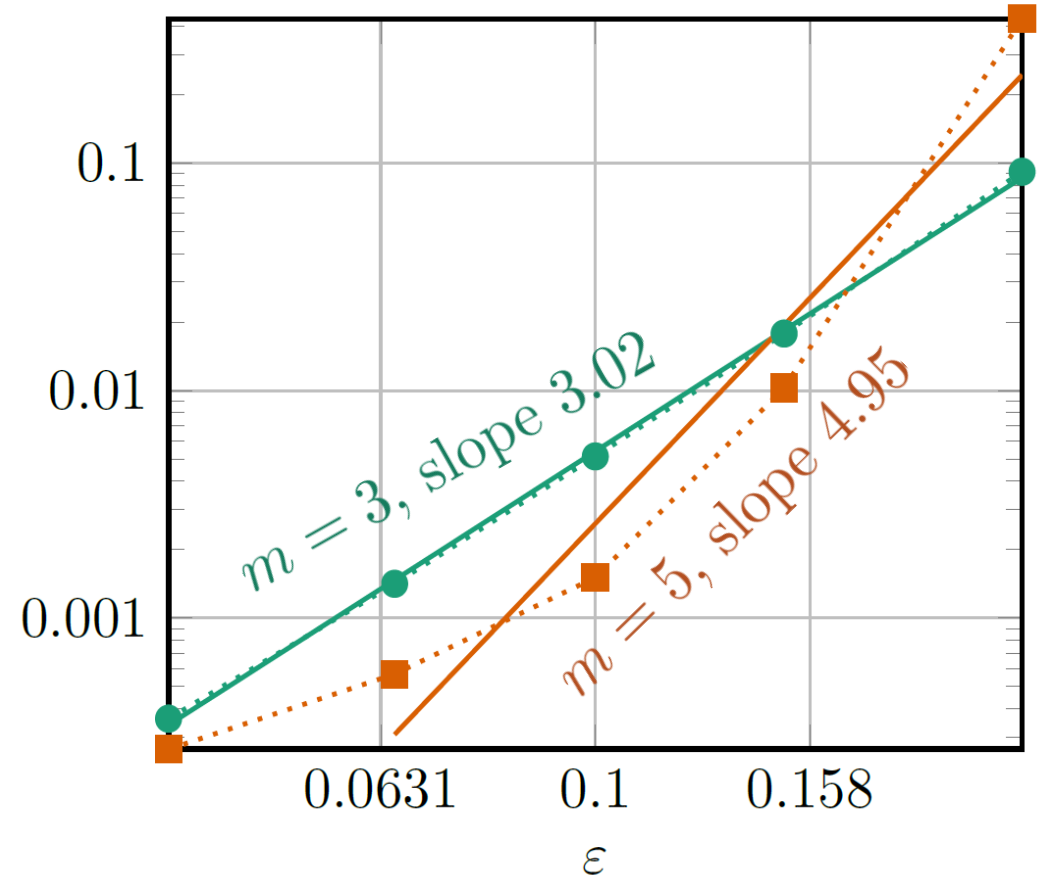


# Numerical results

$\Theta = \pi$



$\Theta = 4$





## Short-term projects

Neumann boundary condition

## Long-term projects

Periodic layers

Maxwell's equations

*Thank you for your attention !*